

Federal Funding Accountability and Transparency Act (FFATA) Subrecipient Addendum

Organizations that have not registered with SAM.gov will need to obtain a DUNS number first and then access the SAM online registration through the SAM home page at <https://www.sam.gov/portal/public/SAM/> (U.S. organizations will also need to provide an Employer Identification Number from the Internal Revenue Service that may take an additional 2-5 weeks to become active). Completing and submitting the registration takes approximately one hour to complete and your SAM registration will take 3-5 business days to process.

1. Location of Subrecipient (City, State, Congressional District, and Country):

Note: If primary place of performance is different than Location of Subrecipient, provide location of where project will be performed (City, State, Congressional District, and Country):

2. DUNS Number of Subrecipient receiving award:

3. Is Subrecipient owned or controlled by a parent entity? Yes ☐ No ☐

Note: If yes, please provide DUNS Number and location (City, State, Congressional District, and Country) of parent entity:

4. Is subrecipient currently registered in the System for Award Management? Yes ☐ No ☐

5. NAICS Code _____

6. Provide the names and total compensation of the five (5) most highly compensated officers of the subrecipient entity if:

- a) The recipient in its preceding fiscal year received:
 - i. 80 percent or more of its annual gross revenues in Federal awards ; **AND**
 - ii. \$25,000,000 or more in annual revenues from the Federal awards; **AND**
- b) The public does NOT have access to information about the compensation of the senior executives of the entity through periodic reports filed under section 13(a) or 15(d) of the Securities and Exchange Act of 1934 (15 U.S. C. 78m(a), 78o(d) or section 6104 of the Internal Revenue Service Code of 1986 [26 USC 6104])

If Yes to a & b: Attach List

If No to a&b: check this box ☐

Note: "Total compensation" means the cash and noncash dollar value earned by the executive during the subrecipient's past fiscal year of the following (for more information see 17 CFR 229.402 ©(2)).

1. Salary and Bonus
2. Award of stock, stock options, and stock appreciation rights. Use the dollar amount recognized for financial statement reporting purposes with respect to the fiscal year in accordance with FAS 123R
3. Earnings for services under non-equity incentive plans. Does not include group life, health, hospitalization, or medical reimbursement plans that do not discriminate in favor of executives, and are available generally to all salaried employees.
4. Change in pension value. This is the change in present value of defined benefit and actuarial pension plans.
5. Above-market earning of deferred compensation which are not tax-qualified
6. Other compensation. For Example, severance, termination payments, and value of life insurance paid on behalf of the employee, perquisites or property if the values for the executive exceed \$10,000.

Signature of Subrecipients's Authorized Representative

Date

Name and Title