

Office of Sponsored Projects
Office of Research

Annual Report FY 2002 – 2003

University of California,
Santa Cruz

Office of Sponsored Projects
Annual Report
Fiscal Year 2002–2003

Highlights

- ★ **Grants and contracts awards (705) totaled \$79,510,698, a 17% dollar increase from last year; recovered federal indirect costs increased 7% to \$8.3M.**
- ★ **Externally funded direct expenditures contributed 25% of all campus expenditures under instruction, research and other academic programs, a 9% increase from last year.**
- ★ **Over 76% of awards were from federal government. Second largest fund source was private, followed by UC systemwide initiatives.**
- ★ **Single largest fund source was National Science Foundation (\$14.6M), followed by National Institutes of Health (\$13.3M). Single largest private fund source was the Gordon and Betty Moore Foundation (\$5.1M).**
- ★ **Top five campus units receiving awards were UCO/Lick (\$17M), Institute of Marine Sciences (\$10.5M), Education (\$6.7M), Chemistry (\$5.7M) and Molecular Cell & Developmental Biology (\$5.3M).**
- ★ **Among proposals submitted (863) was the University Affiliated Research Center (UARC) to NASA Ames, the single largest proposal ever submitted (\$341M) by any UC campus.**

Figure 1
UCSC Sponsored Projects Awards:
FY 1994 – 2003

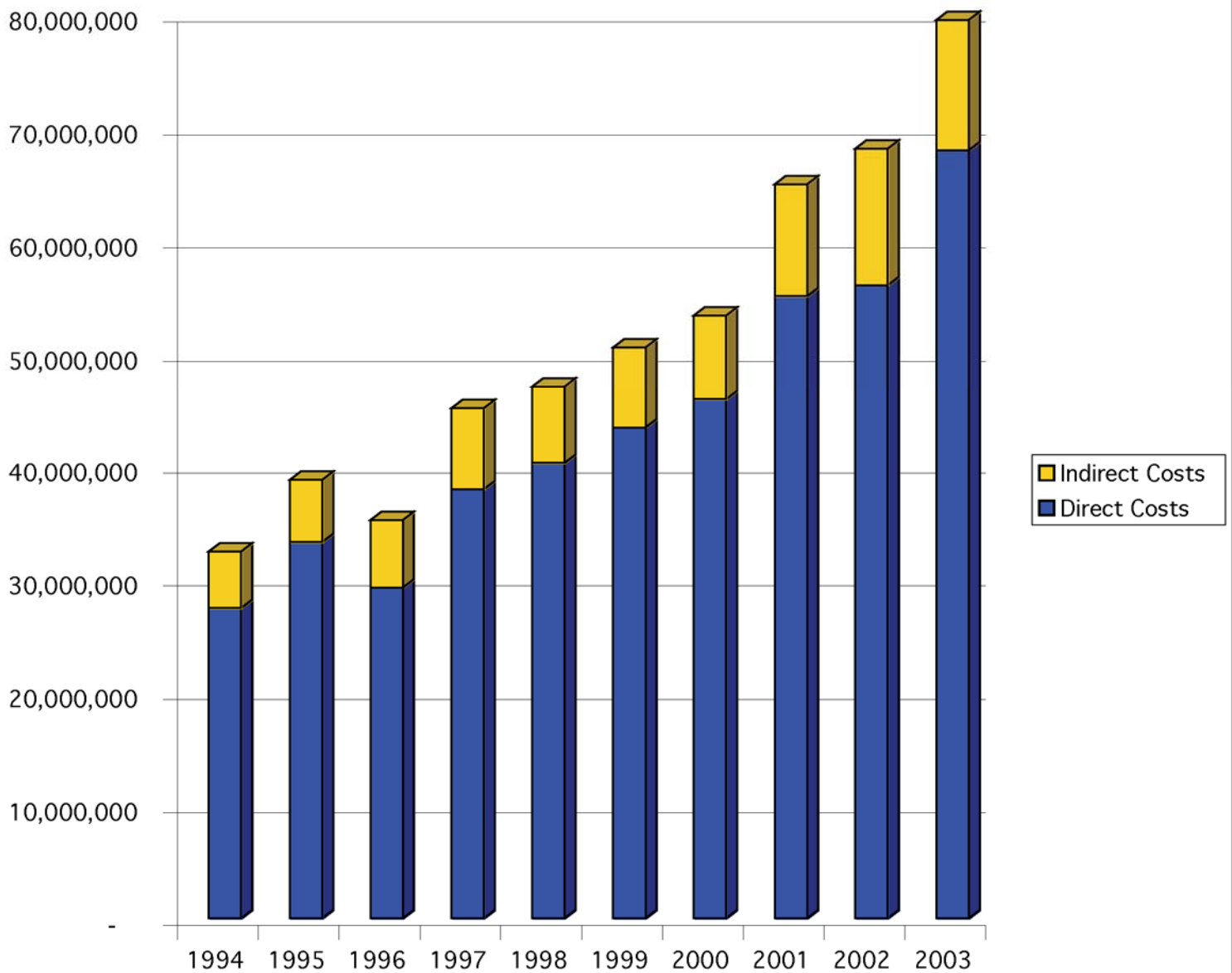


Figure 2
UCSC Grants and Contracts by Sponsor Type:
FY 2002 – 2003

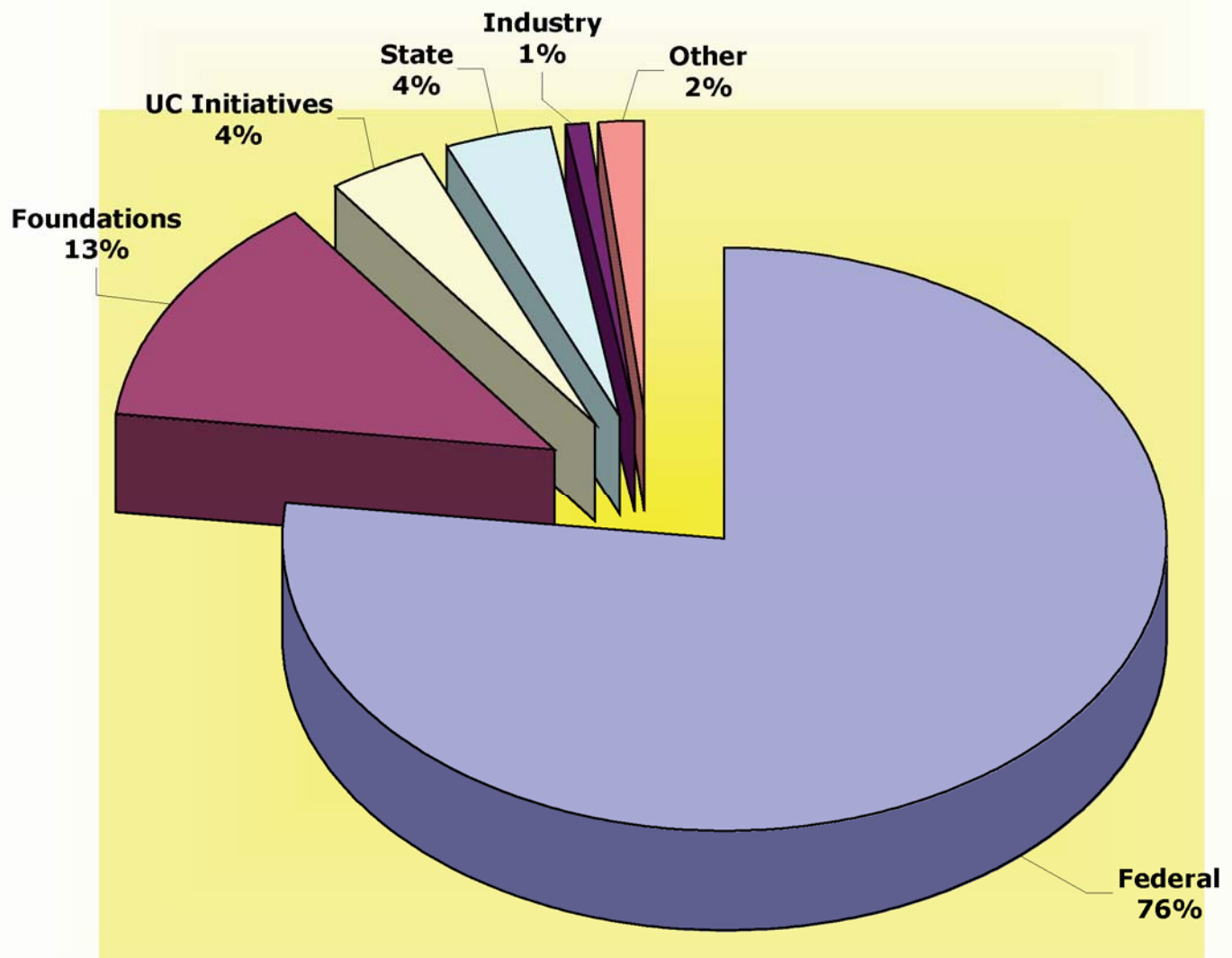


Table 1
UCSC Sponsored Projects Awards by Campus Area, FY 1998-99 — FY 2002-03

Division, Department, ORU	Award Value 1998-99	Award Value 1999-00	Award Value 2000-2001	Award Value 2001-2002	Award Value 2002-2003	5-Year Cumulative Total	5-Year % Change (For those units with 5 years of data)
Campus-wide Total	50,586,210	53,405,919	65,001,788	67,956,919	79,510,698	316,461,534	44%
Annual Percentage Change	7%	6%	22%	5%	17%		
Arts Division	11,144	411,194	42,398	259,486	350,961	1,075,183	4030%
Annual Percentage Change	77.4%	3689.8%	-89.7%	512%	135%		
Division	-	-	-	-	-	-	
Art History	-	11,350	11,000	6,000	76,750	76,750	
Music	-	399,844	31,398	253,486	-	28,350	
Theater Arts/SSC	11,144				274,211	970,083	3934%
School of Engineering	4,272,114	4,976,650	5,002,924	8,202,261	10,600,020	33,053,969	148%
Annual Percentage Change	0.5%	16.5%	0.5%	64%	29%		
Division	7,159	45,938	-	2,600	33,150	406,658	
Applied Math and Statistics	n/a	n/a	203,000	-	795,819	-	
Computer Engineering	2,260,337	1,968,730	1,094,106	2,079,389	2,967,812	7,402,563	31%
Computer Science	2,004,618	1,859,400	2,949,991	4,938,750	4,752,732	11,752,759	220%
Electrical Engineering	n/a	1,102,582	958,827	1,181,522	2,050,507	-	
Humanities Division	353,854	227,708	409,765	877,276	425,041	2,293,644	167%
Annual Percentage Change	7.57%	-35.65%	79.95%	114%	-52%		
Division	-	-	-	-	9,000	9,000	
American Studies	3,000	-	-	-	-	3,000	
Hist. Con.	-	3,000	20,500	1,500	32,531	57,531	-87%
History	104,000	5,000	18,000	210,000	-	337,000	-10%
Languages	-	-	-	26,569	37,757	64,326	
Linguistics	42,204	36,908	30,092	101,259	28,193	238,656	
Literature	101,650	1,000	86,173	-	58,873	247,696	-100%
Philosophy	5,000	-	-	210,000	-	215,000	
Women's Studies	-	-	-	39,948	109,937	149,885	
Writing Project	98,000	181,800	255,000	288,000	148,750	971,550	299%

Table 1, continued
UCSC Sponsored Projects Awards by Campus Area, FY 1998-99 — FY 2002-03

Division, Department, ORU	Award Value 1998-99	Award Value 1999-00	Award Value 2000-2001	Award Value 2001-2002		5-Year Cumulative Total	5-Year % Change (For those units with 5 years of data)
P & B Sciences Division Annual Percentage Change	30,378,247 22.04%	26,459,195 -12.90%	34,631,181 30.89%	38,163,425 10%	36,603,863 -4%	166,235,911	20%
Division	325,313	268,152	320,533	357,833	196,927	1,468,758	-39%
Biology	7,976,223	6,314,593	n/a	n/a	n/a		
Biology, EEB	n/a	n/a	3,221,695	2,345,401	2,907,241		
Biology, MCD	n/a	n/a	5,368,486	6,696,128	5,282,161		
Chemistry	5,164,468	5,415,573	6,709,923	6,337,685	5,664,111	29,291,760	10%
Earth Sci.	1,415,243	718,809	1,575,644	5,253,923	1,657,897	10,621,516	17%
ETOX	1,072,014	602,084	810,889	2,209,347	1,775,909	6,470,243	66%
Math	414,443	905,031	370,639	713,655	1,076,012	3,479,780	160%
Ocean Sci.	24,541	282,280	138,610	739,184	401,483	1,586,098	1536%
Physics	1,844,517	1,344,261	641,797	800,680	1,062,413	5,693,668	-42%
Sci. Comm. Prg.	n/a	n/a	25,000	-	30,000		
IMS	7,303,569	5,702,016	5,959,344	7,745,750	10,519,320	37,229,999	44%
SCIIPP	2,537,183	2,828,691	6,833,402	3,638,364	4,212,884	20,050,524	66%
IGPP (Tectonics)	2,294,403	2,077,705	2,655,219	1,325,475	1,817,505	10,170,307	-21%
UCO Lick Annual Percentage Change	3,054,478 -8.61%	6,786,514 122.18%	6,679,271 -1.58%	7,305,569 9%	16,974,322 132%	40,800,154	456%

Table 1, continued
UCSC Sponsored Projects Awards by Campus Area, FY 1998-99 – FY 2002-03

Division, Department, ORU	Award Value 1998-99	Award Value 1999-00	Award Value 2000-2001	Award Value 2001-2002	Award Value 2002-2003	5-Year Cumulative Total	5-Year % Change (For those units with 5 years of data)
Social Sciences Division	10,356,178	9,238,848	12,986,127	7,097,036	10,670,566	50,348,755	3%
Annual Percentage Change	-3.79%	-10.79%	40.56%	-45%	50%		
Anthro	38,930	37,000	218,062	501,494	516,140	1,311,626	1226%
CASFS	359,960	450,852	501,807	610,035	720,569	2,643,223	100%
Chicano/Latino Research Center	-	-	-	8,697	-	8,697	
Community Studies	158,629	50,000	109,268	25,000	31,440	374,337	-80%
Economics	185,594	372,300	92,650	420,000	295,394	1,365,938	59%
Education	7,850,607	5,409,926	9,979,835	3,332,703	6,696,472	33,269,543	-15%
Env Studies	843,183	1,138,782	593,805	584,179	683,926	3,843,875	-19%
Latin American & Latino Studies	96,853	308,500	706,631	687,020	794,756	2,593,760	721%
Politics	40,474	127,008	126,757	14,000	17,000	325,239	-58%
Psychology	697,598	913,431	591,659	828,072	876,874	3,907,634	26%
Sociology	84,350	431,049	65,653	85,836	37,995	704,883	-55%
Other	2,160,195	5,305,810	5,047,122	6,051,866	3,885,925	22,450,918	80%
Annual Percentage Change	-38.58%	145.62%	-4.88%	20%	-36%		
Arboretum	-	74,000	415,500	-	-	489,500	
Arts & Lectures	9,050	12,500	4,125	10,000	-	35,675	
Career Center	-	-	-	-	-	-	
Chancellor's Office	-	-	-	878,399	-	878,399	
Colleges	11,343	19,868	11,350	14,000	14,000	70,561	23%
Educational Partner Ctr.	107,806	419,803	1,460,138	2,411,269	2,151,466	6,550,482	1896%
Grad Div.	489,500	293,750	578,332	406,000	576,166	2,343,748	18%
Library	3,500	16,500	-	14,684	36,000	70,684	
MBEST	900,000	3,347,000	125,000	82,637	100,000	4,554,637	-89%
OPERS	2,000	26,215	2,500	10,350	-	41,065	
Office of Research	-	126,884	518,815	1,097,904	165,400	165,400	
Student Services	-	-	13,000	-	712,893	2,456,496	
Summer Session	21,003	-	-	-	-	34,003	
TAPS	-	49,203	819,000	152,000	130,000	1,150,203	
Univ. Ext.	625,043	920,087	1,099,362	974,623	-	3,619,115	

Table 2

UCSC Sponsored Projects Proposals by Division and Unit,
FY 1999-2000 - FY 2002-2003

Division, Department, ORU	Number of Proposals 1999-00	Proposal Value 1999-00 \$	Number of Proposals 2000-01	Proposal Value 2000-01	Number of Proposals 2001-02	Proposal Value 2001-02	Number of Proposals 2002-03	Proposal Value 2002-03
Campus-wide Total	763	197,312,013	723	205,064,713	960	\$ 218,776,413	873	697,873,158
Arts Division	13	1,024,182	6	649,631	7	405,035	5	427,128
Art								
Art History	1	464,657	1	450,898	-	-	1	307,164
Film & Digital Media	-	-	-	-	1	107,474	1	76,753
Music	2	22,540	-	-	1	6,000	1	15,000
Theater Arts/SSC	10	536,985	5	198,733	5	291,561	1	24,000
								4,211
School of Engineering	72	23,371,076	63	47,553,944	117	39,959,761	152	59,359,126
Division	2	53,938	1	7,159	1	2,600	-	-
Applied Math & Statistics	5	703,434	1	989,678	4	587,053	17	4,315,227
Computer Engineering	23	9,131,096	23	12,955,955	43	9,979,825	56	23,531,538
Computer Science	27	9,198,895	26	25,700,116	42	22,229,646	37	14,167,958
Electrical Engineering	15	4,283,713	12	7,901,036	27	7,160,637	42	17,344,403
Humanities Division	14	470,003	23	935,355	29	1,614,564	13	1,062,311
Division								9,000
American Studies	5	24,150	6	63,477	2	33,563	-	-
Hist. Con.	2	38,905	5	43,400	3	33,954	-	-
History					11	712,605	2	69,046
Language Program	1	92,648	5	291,978	4	198,802	1	19,052
Linguistics	2	101,000	1	25,500	3	61,640	1	30,000
Literature	-	-	1	220,000	2	30,000	4	148,477
Philosophy							1	299,966
Women's Studies	4	213,300	5	291,000	4	544,000	3	246,554
Writing Project							1	249,216
Physical & Biological Sciences Division	419	118,574,529	395	107,804,435	527	126,314,588	455	176,864,222
Division	4	371,451	-	-	3	514,965	5	35,301,480
Biology	95	31,111,234	-	-	n/a	n/a		
Biology, EEB	n/a	n/a	55	7,535,476	49	9,184,774	24	10,183,255
Biology, MCD	n/a	n/a	41	28,438,629	49	19,751,895	42	30,720,396
Chemistry	65	27,257,549	42	15,980,763	72	27,711,531	52	29,725,740
Earth Sci.	36	4,122,532	35	9,723,895	67	12,471,669	44	6,042,870
ETOX	17	3,859,124	23	11,375,600	32	9,251,424	28	12,124,504
Math	18	3,031,233	16	2,209,268	21	2,403,978	16	1,906,661
Ocean Sci.	3	4,481,460	8	1,306,548	16	3,274,636	13	2,680,247
Physics	19	5,000,948	9	3,745,815	13	1,629,233	7	1,442,630
Sci. Comm. Prg.	-	-	2	25,000	-	-	3	47,500
IMS	87	14,614,666	90	10,112,027	134	30,074,927	135	23,746,890
SCIPP	27	20,269,105	22	7,073,712	27	5,213,457	18	11,608,776
IGPP (Tectonics)	48	4,455,227	52	10,277,702	44	4,832,099	68	11,333,273

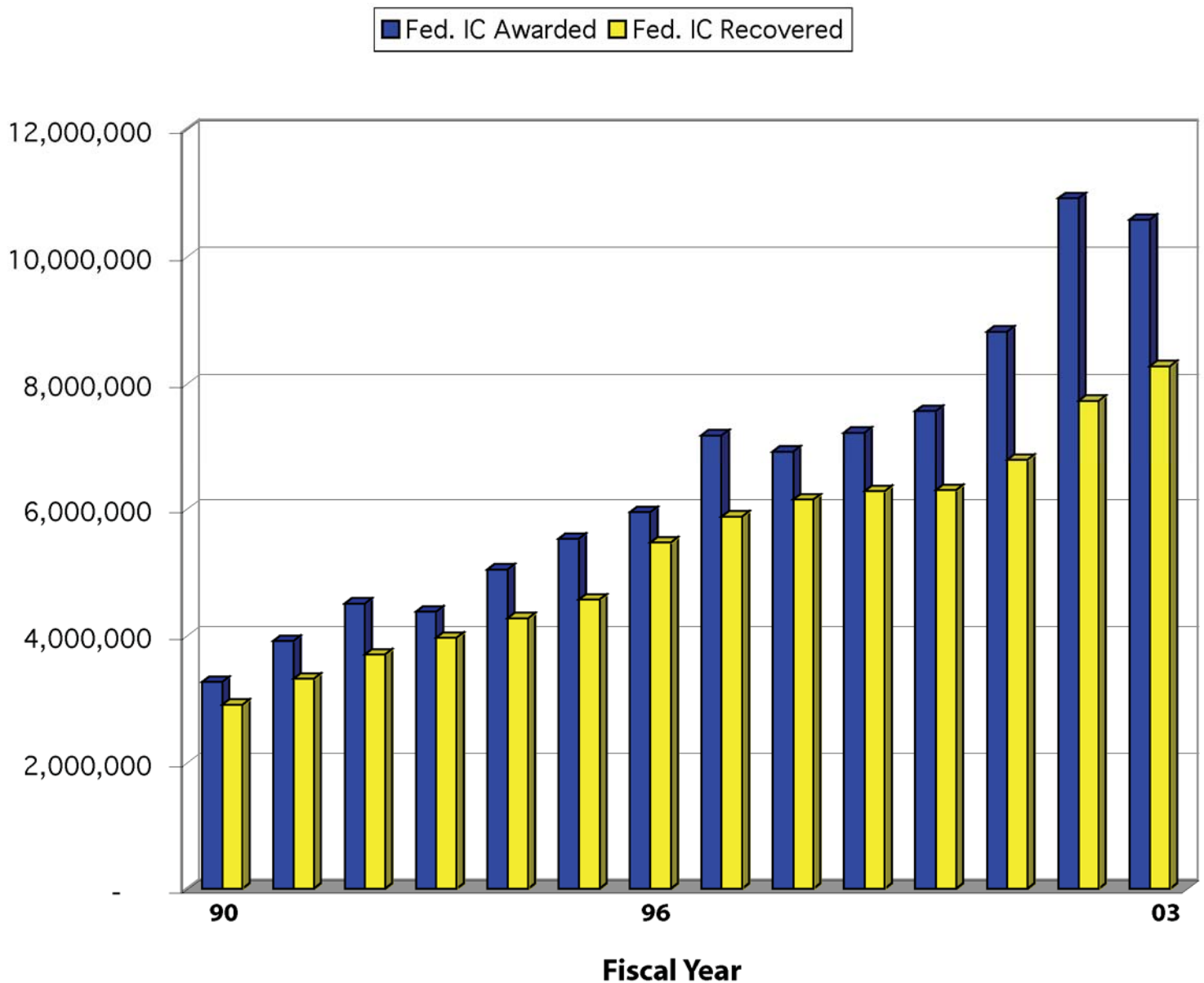
Table 2 Continued
UCSC Sponsored Projects Proposals by Division and Unit,
FY 1999-2000 - FY 2002-2003

Division, Department, ORU	Number of Proposals 1999-00	Proposal Value 1999-00 \$	Number of Proposals 2000-01	Proposal Value 2000-01 \$	Number of Proposals 2001-02	Proposal Value 2001-02 \$	Number of Proposals 2002-03	Proposal Value 2002-03 \$
UCO Lick	42	8,259,633	35	9,360,498	47	9,591,601	49	82,986,491
Social Sciences Division	159	32,532,273	148	31,766,626	186	28,614,264	159	27,749,183
Anthro	19	421,272	17	973,034	16	1,976,147	18	1,018,722
CASFS	13	1,054,273	11	1,638,212	22	893,892	16	1,116,664
Chicano/Latino Research Center	-	-	-	-	1	8,697	2	420,798
Community Studies	3	194,234	9	1,233,003	3	518,199	3	411,713
Economics	13	1,517,157	13	608,365	15	1,429,448	11	1,321,634
Education	29	14,648,240	25	11,709,529	25	10,773,123	21	6,232,912
Env Studies	43	5,600,112	31	8,721,050	55	7,915,860	31	6,724,367
Latin American & Latino Studies	4	375,415	8	930,335	19	1,698,319	12	833,048
Politics	6	226,212	4	50,077	5	110,899	5	92,042
Psychology	18	6,526,051	21	5,560,615	20	2,069,799	29	8,999,280
Sociology	11	1,969,307	9	342,406	5	1,219,881	11	578,003
Other	44	13,080,317	42	6,994,224	47	12,276,600	40	349,424,697
Arboretum	9	626,289	2	43,000	-	-	1	61,921
Arts & Lectures	2	25,373	3	14,125	-	-	-	-
Career Center	-	-	-	-	-	-	-	-
Chancellor's Office	-	-	-	-	2	1,509,517	2	110,000
Colleges	1	19,868	1	11,350	1	14,000	1	14,000
Educational Partner Ctr.	5	5,505,823	8	4,069,318	11	1,989,477	8	4,604,738
Grad Div.	4	1,743,000	1	445,000	2	458,000	-	-
Library	2	19,000	-	-	3	16,604	1	36,000
MBEST	3	1,370,900	1	100,000	-	-	1	100,000
OPERS	2	29,815	3	37,000	1	2,500	-	-
Office of Research	-	-	-	-	1	600,000	2	342,002,459
Physical Plant	1	120,000	-	-	-	-	-	-
Student Services	6	719,249	14	884,181	18	4,298,996	18	1,667,652
Summer Session	-	-	1	13,000	-	-	-	-
TAPS	6	1,051,000	7	1,327,250	6	569,000	3	263,000
Univ. Ext.	3	1,850,000	1	50,000	2	2,818,506	2	514,927
Univ. Police	-	-	-	-	-	-	1	50,000

Table 3
UCSC Sponsored Projects Awarded Indirect Costs
by Division and Unit, FY 1999–2000 - FY 2002–2003

Division, Department, ORU	Awarded Indirect Costs FY 1999–00 \$	Awarded Indirect Costs FY 2000–01 \$	Awarded Indirect Costs FY 2001–02 \$	Awarded Indirect Costs FY 2002–03 \$
Campus-wide Total	8,090,440	9,899,997	12,048,844	11,519,546
Annual Percentage Change		22%	22%	-4%
Arts Division	1,032	-	6,848	-
Annual Percentage Change				
Art History	-	-	-	-
Music	1,032	-	-	-
Theater Arts/SSC	-	-	6,848	-
School of Engineering	1,103,781	1,168,381	2,113,632	2,356,113
Annual Percentage Change		6%	81%	11%
Division	-	-	-	-
Applied Math & Statistics	-	66,758	-	167,509
Computer Engineering	376,753	259,933	481,373	558,576
Computer Science	455,693	608,431	1,354,112	1,247,076
Electrical Engineering	271,335	233,259	278,147	382,952
Humanities Division	6,298	7,390	40,257	11,926
Annual Percentage Change		17%	445%	-70%
Division	-	-	-	-
Hist. Con.	-	-	-	-
History	-	-	18,283	-
Languages	-	-	1,968	2,797
Linguistics	6,298	7,390	20,006	-
Literature	-	-	-	-
Philosophy	-	-	-	-
Writing Project	-	-	-	-
Women's Studies	-	-	-	9,129
P & B Sciences Division	4,891,291	6,361,174	7,832,513	7,016,385
Annual Percentage Change		30%	23%	-10%
Division	991	-	-	-
Biology	1,087,804	n/a	n/a	n/a
Biology, EEB	n/a	491,064	292,299	289,687
Biology, MCD	n/a	1,274,581	1,657,890	1,399,227
Chemistry	1,168,472	1,432,357	1,556,162	1,379,847
Earth Sci.	113,998	270,557	1,076,809	309,164
ETOX	46,233	99,148	140,762	349,240
Math	150,224	22,719	124,617	125,343
Ocean Sci.	21,827	45,583	118,227	87,412
Physics	110,719	178,011	208,553	174,249
Sci. Comm. Prg.	n/a	2,500	-	2,864
IMS	1,161,165	1,062,910	1,619,167	1,695,736
SCIPP	537,512	796,638	666,509	745,803
IGPP (Tectonics)	492,346	685,106	371,518	457,813
UCO Lick	1,026,450	1,484,159	1,209,442	1,103,213
Annual Percentage Change		45%	-19%	-9%
Social Sciences Division	1,024,645	757,946	474,519	903,121
Annual Percentage Change		-26%	-37%	90%
Anthro	-	-	12,638	20,894
CASFS	12,645	17,848	4,286	17,834
Chicano/Latino Reseach Center	-	-	414	-
Community Studies	-	-	-	-
Economics	34,967	7,650	52,894	34,537
Education	475,795	400,606	86,150	570,651
Env Studies	177,180	117,126	62,571	62,545
Latin American & Latino Studies	30,168	47,864	73,823	88,299
Politics	14,663	11,735	-	-
Psychology	199,261	152,117	178,939	108,361
Sociology	79,966	3,000	2,804	-
Other	36,943	127,574	371,633	128,788
Annual Percentage Change		245%	191%	-65%
Arboretum	-	54,000	-	-
Arts & Lectures	-	4,125	-	-
Chancellor's Office	-	-	272,060	-
Colleges	-	1,032	1,273	1,273
Educational Partner Ctr.	9,106	65,917	98,300	95,072
Grad Div.	-	-	-	-
Library	-	-	-	-
MBEST	6,249	-	-	-
OPERS	-	2,500	-	-
Office of Research	-	-	-	32,443
Physical Plant	-	-	-	-
Student Services	-	-	-	-
Summer Session	-	-	-	-
TAPS	-	-	-	-
Univ. Ext.	21,588	-	-	-

Figure 3
Federal Indirect Costs, Awarded and Recovered:
FY 1990–2003



Note: Based on historical rates of recovery and expenditures trends, we estimate that UCSC will recover approximately \$8.7 federal indirect costs by the end of FY 2004, an increase of \$1M from last fiscal year and approximately an additional \$550,000 to be returned to the campus.

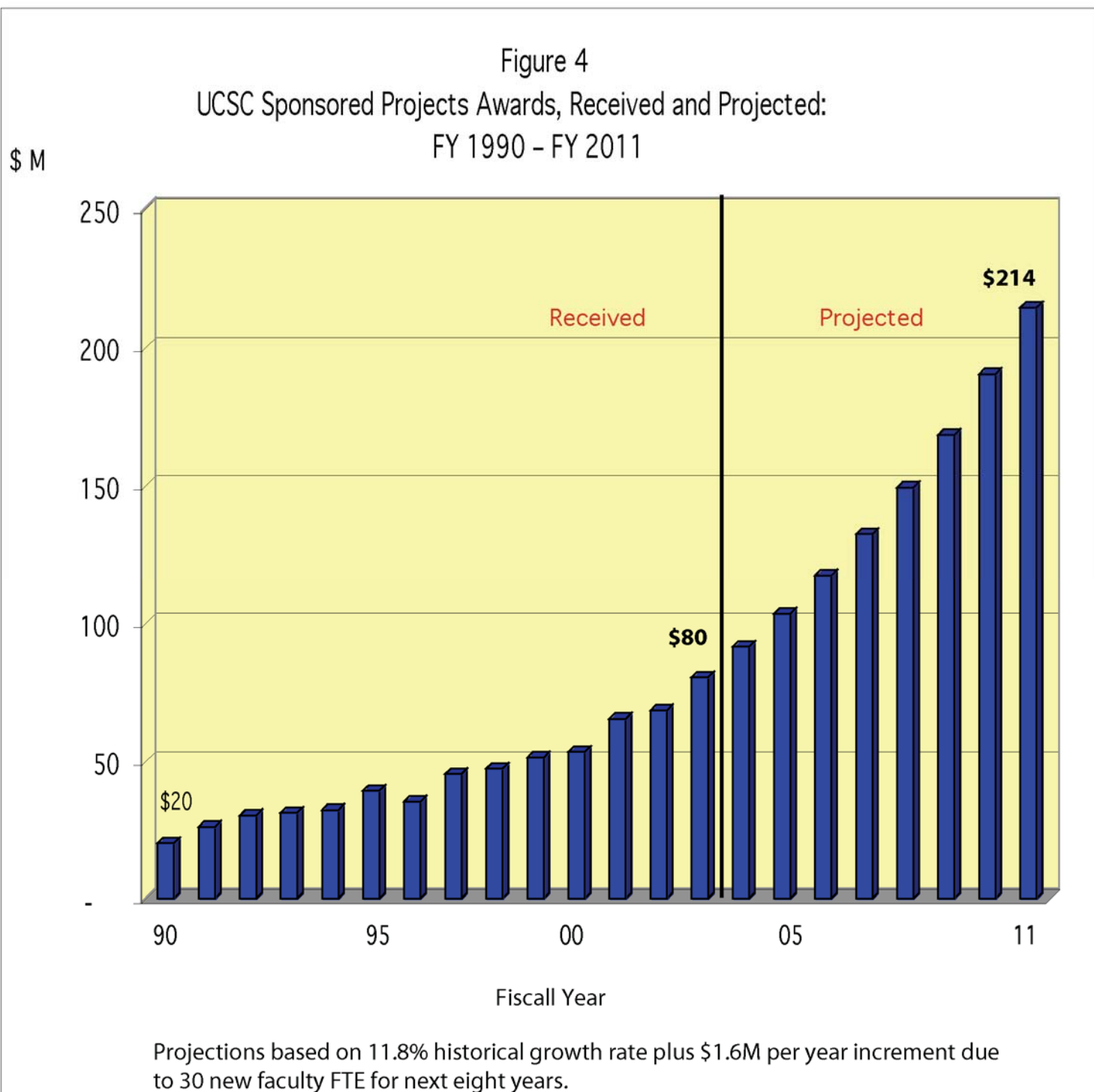


Table 4

UC Santa Cruz Comparison of Direct Expenditures Under Instruction, Research and Other Academic Programs

FISCAL YEAR ENDING JUNE 30, 2003

ACADEMIC UNITS	UNIVERSITY FUNDED	EXTERNALLY FUNDED	GIFTS & ENDOWMENTS	TOTAL SUPPORT	PERCENT EXTERNAL %
	A	B	C	A + B + C	B
ARTS DIVISION					
Art Department	1,936,580	5,686	9,500	1,951,766	
Arts Academic Support Services	1,147,730	(1,993)	11,813	1,157,550	
Arts Administration	930,905	815	9,027	940,747	
Arts Film Video	1,565,446	2,952	13,764	1,582,162	
Arts Instruction	19,381	-	-	19,381	
Arts Research	343,639	-	27,863	371,502	
History of Art & Visual Culture	1,104,643	160	7,707	1,112,510	
Music	2,590,612	694	53,744	2,645,050	
Sesnon Gallery	74,042	2,612	34,115	110,769	2%
Shakespeare Santa Cruz	986,342	263,006	592,705	1,842,053	14%
Theater Arts	1,975,046	2,306	5,309	1,982,661	
Sub-Total	12,674,366	276,238	765,547	13,716,151	2%
GRADUATE STUDIES DIVISION					
Sub-Total	1,113,307	173,633	-	1,286,940	13%
ENGINEERING DIVISION					
Applied Math & Statistics	1,201,571	233,003	6,893	1,441,467	16%
BioMolecular Engineering Dept.	143,954	-	-	143,954	
CE Computer Engineering Dept	3,004,581	1,846,181	62,692	4,913,454	38%
CS Computer Sciences Dept	2,949,078	2,470,018	84,741	5,503,837	45%
Ctr Biomolecular Sci & Engineering	53,920	2,396	-	56,316	4%
Ctr Info Tech Res Interest Society	-	27,552	-	27,552	100%
Electrical Engineering	1,597,377	1,310,029	173,993	3,081,399	43%
Engineering Administration	969,734	730	-	970,464	
Engineering Advising & Outreach Prog	506,905	32,360	-	539,265	6%
Engineering Faculty Services	51,925	2,974	1,214	56,113	5%
Engineering General	2,224,520	2,399	18,391	2,245,310	
INST Network Info Sys & Tech	38,284	-	-	38,284	
Sub-Total	12,741,849	5,927,642	347,924	19,017,415	31%
HUMANITIES DIVISION					
American Studies	1,084,717	10,792	-	1,095,509	1%
Central Calif Writing Proj (CCWP)	78,606	168,418	-	247,024	68%
DP Dicken's Project	289,394	-	17,373	306,767	
History	2,636,312	13,710	3,460	2,653,482	1%
History of Consciousness	1,207,192	6	3,811	1,211,009	
Humanities Administration	2,556,502	399	30,043	2,586,944	
Humanities Research & Instruction	456,751	98,874	69,901	625,526	16%
Languages	1,876,607	20,519	16,768	1,913,894	1%
Linguistics	1,344,364	48,066	4,654	1,397,084	3%
Lierature	4,292,984	3,130	8,828	4,304,942	
Philosophy	1,081,106	75,240	7,601	1,163,947	6%
Women's Studies	706,360	93,240	8,805	808,405	12%
Writing	1,642,110	7,617	(9,279)	1,640,448	
Sub-Total	19,253,005	540,011	161,965	19,954,981	3%
LIBRARY					
Sub-Total	11,185,149	94,592	283,327	11,563,068	1%

Table 4 Continued

UC Santa Cruz Comparison of Direct Expenditures Under Instruction, Research and Other Academic Programs

FISCAL YEAR ENDING JUNE 30, 2003

ACADEMIC UNITS	UNIVERSITY FUNDED	EXTERNALLY FUNDED	GIFTS & ENDOWMENTS	TOTAL SUPPORT	PERCENT EXTERNAL %
	A	B	C	A + B + C	B
PHYSICAL & BIOLOGICAL SCIENCES DIVISION					
Astronomy & Astrophysics Dept	1,743,450	11,131	4,389	1,758,970	1%
Biology Core	647,609	185,380	2,581	835,570	22%
Biomedical	26,935	-	-	26,935	
Cal Space Institute	110,803	58,339	-	169,142	34%
Chemistry & Biochemistry Dept	4,128,022	4,782,439	50,094	8,960,555	53%
Earth Sciences Dept	3,395,747	2,011,970	29,169	5,436,886	37%
Ecology & Evolutionary Biology	1,930,481	2,694,852	8,562	4,633,895	58%
Environmental Toxicology Dept	635,159	2,079,680	359	2,715,198	77%
Inst for Geophysics & Planet Physics	351,660	1,472,280	33,551	1,857,491	79%
IMS Institute of Marine Sciences	1,519,751	5,471,317	456,963	7,448,031	73%
Interdisciplinary Instruction	127,115	-	-	127,115	
Math Dept	2,839,341	473,897	7,970	3,321,208	14%
Molecular & Cell Development Biology	3,118,436	4,523,051	148,205	7,789,692	58%
Natural Reserves	339,190	1,415	50,571	391,176	
Ocean Sciences Dept	1,472,711	580,300	1,679	2,054,690	28%
Phys & Bio Sciences Outreach	126,759	407,682	242	534,683	76%
Phys & Bio Sci Academic Support	5,153,546	23,668	(38,582)	5,138,632	
Phys & Bio Sci Dean Provisional	(1,943)	-	-	(1,943)	
Phys & Bio Sciences Facilities	205,937	-	-	205,937	
Phys & Bio Sciences Administration	2,881,627	-	1,578	2,883,205	
Physics Dept	2,894,625	530,043	81,722	3,506,390	15%
Science Communication	357,795	13,500	1,714	373,009	4%
SCIPP SC Inst for Particle Physics	554,004	3,190,949	10	3,744,963	85%
STEPS Institute	97,609	-	15,669	113,278	
Sub-Total	34,656,369	28,511,893	856,446	64,024,708	45%
SOCIAL SCIENCES DIVISION					
Agroecology Program	774,700	787,770	227,572	1,790,042	44%
Anthropology Dept	2,113,416	164,561	8,667	2,286,644	7%
College Nine Academic	287,694	3,787	11,074	302,555	1%
College Ten Academic	245,684	-	-	245,684	
Community Studies Dept	1,096,441	1,903	3,637	1,101,981	
Ctr For Justice, Tolerance & Comm	51,795	-	26,893	78,688	
Economics Dept	3,504,954	279,248	47,690	3,831,892	7%
Education Dept	3,309,284	4,989,005	5,835	8,304,124	60%
Environmental Studies Dept	2,502,408	667,767	45,907	3,216,082	21%
Latin American/Latino Studies Bd	1,181,672	731,822	14,819	1,928,313	38%
Politics Dept	1,571,380	20,455	7,247	1,599,082	1%
Psychology Dept	3,767,780	717,091	70,622	4,555,493	16%
Sociology Dept	2,335,997	74,037	33,607	2,443,641	3%
Soc Sci Academic Support Services	1,004,405	2,346	-	1,006,751	
Soc Sci Administration	1,461,256	1,020	-	1,462,276	
Soc Sci Equipment	660,324	-	-	660,324	
Soc Sci General	371,056	391	18,855	390,302	
Soc Sci Outreach	847,531	1,038,891	-	1,886,422	55%
Sub-Total	27,087,777	9,480,094	522,425	37,090,296	26%

Table 4 Continued

UC Santa Cruz Comparison of Direct Expenditures Under Instruction, Research and Other Academic Programs

FISCAL YEAR ENDING JUNE 30, 2003

ACADEMIC UNITS	UNIVERSITY FUNDED	EXTERNALLY FUNDED	GIFTS & ENDOWMENTS	TOTAL SUPPORT	PERCENT EXTERNAL %
	<u>A</u>	<u>B</u>	<u>C</u>	<u>A + B + C</u>	<u>B</u>
<u>SUMMER SESSION</u>					
Sub-Total	1,498,753	-	-	1,498,753	
<u>UCO LICK OBSERVATORY</u>					
Sub-Total	1,304,614	5,081,815	206,051	6,592,480	77%
<u>UNIVERSITY EXTENSION</u>					
Sub-Total	18,404,240	21,509	217	18,425,966	
<u>VICE CHANCELLOR RESEARCH</u>					
Sub-Total	1,982,620	135,066	-	2,117,686	6%
<u>MULTICAMPUS RESEARCH UNITS</u>					
Subtotals	7,341,639	-	-	7,341,639	
GRAND TOTAL	149,243,688	50,242,493	3,143,902	202,630,083	25%
Percentage Distribution	73.65%	24.80%	1.55%	100%	

Source: Ad hoc expenditure report from Planning and Budget reformatted by OSP, producing nonmaterial rounding differences.

Notes:

1. Indirect cost recovery charges assessed on external awards are excluded from the above figures.
2. Externally Funded includes expenditures on local government, state agency, federal and private contract and grant funds as well as specific funds identified by the Office of Sponsored Projects as external award funds. Externally funded does not include expenditures on General State fund 19900 that came to the campus from UC systemwide initiatives and made up 4% (\$3M) of the 2002-2003 awards.
3. University funded includes expenditures on all other funds designated neither as external, nor gifts and endowments. University Funded includes general state appropriations, student fees, opportunity funds, other service funds, etc..