

**Office of Sponsored Projects
Office of Research**

**Annual Report
FY 2005 – FY 2006**

**University of California,
Santa Cruz**

**Office of Sponsored Projects
Annual Report
Fiscal Year 2005–2006**

Highlights

- Grants and contracts awards (894) totaled \$128,457,509, a 28% increase over last year—one of the fastest annual increases ever experienced by any UC campus.
- Recovered federal indirect costs were at an all-time high of \$13.3M; total awarded indirects, from all sources, increased 30% to another all-time high, \$22M.
- All campus divisions experienced growth and some units, for example, the Educational Partnership Center and The New Teacher Center, more than doubled over last year.
- Over last five years, UCSC has received almost one-half billion dollars in external funding.
- Externally funded direct expenditures contributed 33% of all campus expenditures under instruction, research and other academic programs.
- Over 79% of awards were from the federal government; second largest funding source was private foundations, followed by the State of California.
- The single-largest award, for \$23 million, went to the University Affiliated Research Center (UARC), located at the NASA Ames Research Center.
- The single-largest funding source was NASA (\$30.4M), followed by the National Science Foundation (\$25.0M) and the National Institutes of Health (\$20.8M). The single-largest private fund source was the Gordon & Betty Moore Foundation (\$4.7M).
- The top five campus units receiving awards were the UARC (\$25.2M), Institutes of Marine Sciences (\$15.0M), UCO/Lick (\$13.4M), Education (\$9.6M), and Chemistry and Biochemistry (\$8.9M).
- Proposals submitted, 992, had a total value of \$300M.

Figure 1
UCSC Sponsored Projects Awards:
FY 2002 – FY 2006

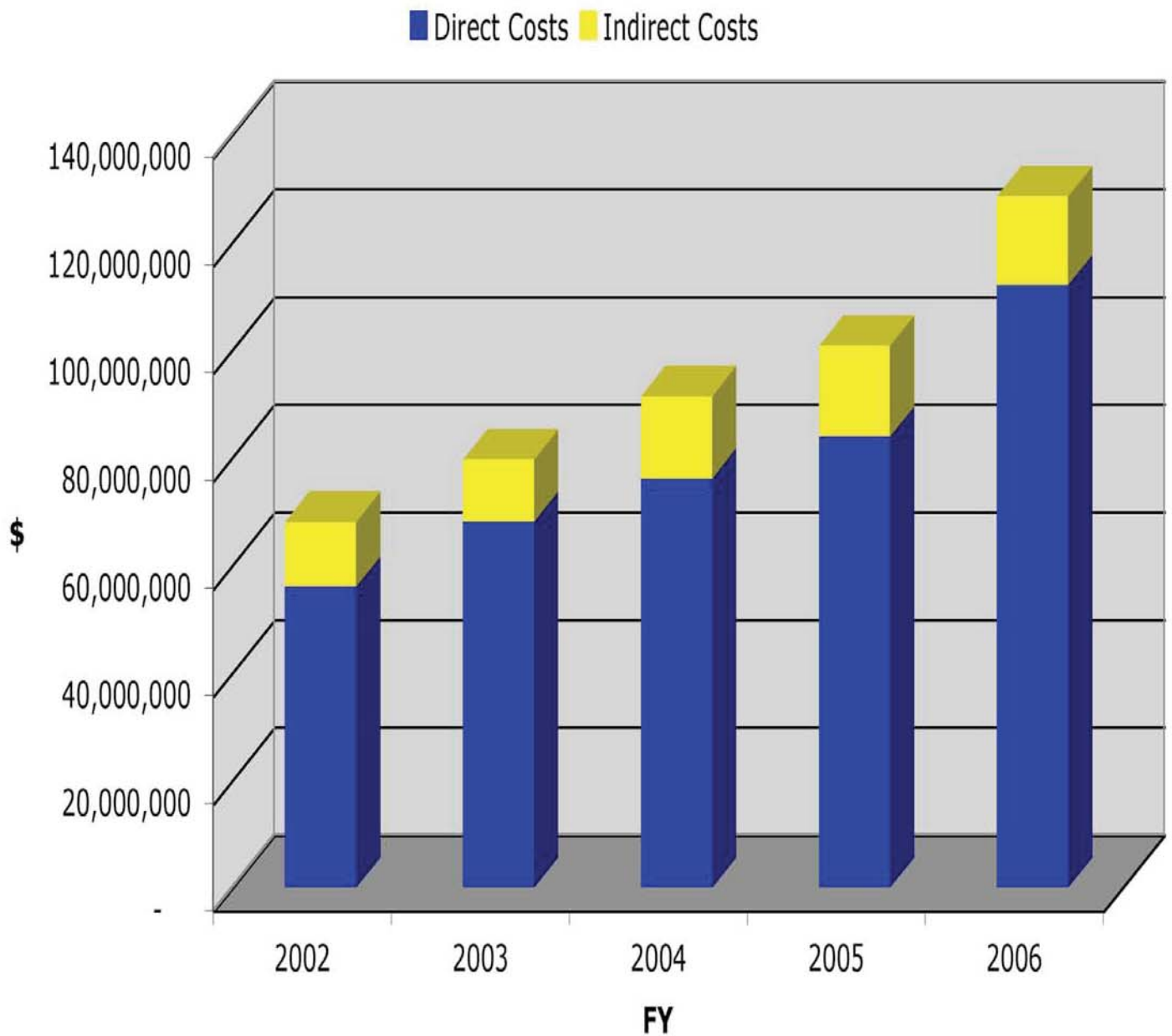
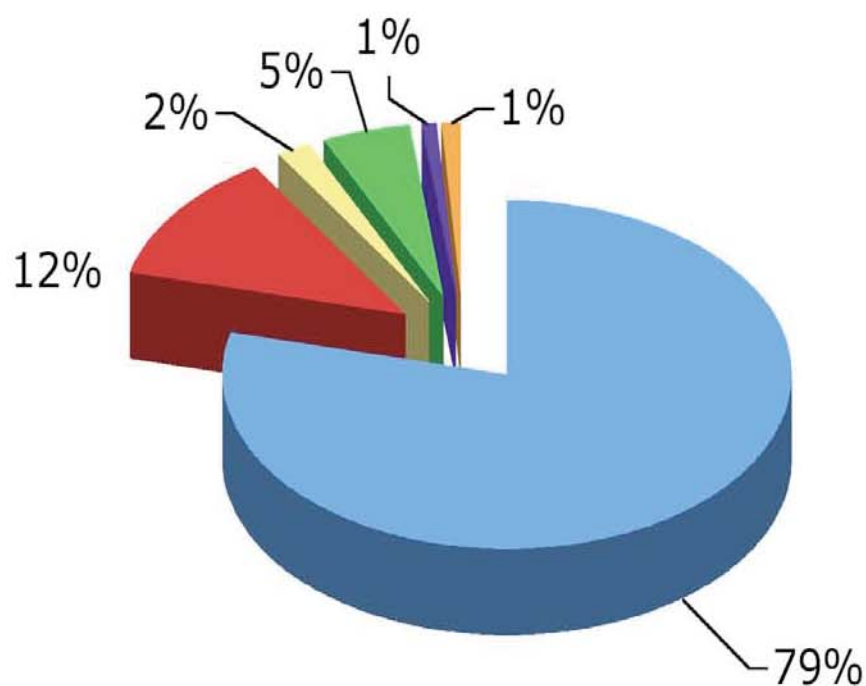


Figure 2
UCSC Grants and Contracts by Source:
FY 2005 – FY 2006



Federal Foundations UC Initiatives State Industry Other

Office of Sponsored Projects

Table 1

UCSC Sponsored Projects Awards by Campus Area, FY 2001–2002 — FY 2005–2006

Division, Department, ORU	Award Value 2001–2002	Award Value 2002–2003	Award Value 2003–2004	Award Value 2004–2005	Award Value 2005–2006	5-Year Total	5-Year % Change (For those units with 5 years of data)
Campus-wide Total	67,956,919	79,510,698	91,274,339	100,718,277	128,457,509	467,917,742	89%
Annual % Change		17%	15%	10%	28%		
Arts Division	259,486	350,961	104,795	348,149	399,288	1,462,679	54%
Annual % Change		17%	-70%	232%	15%		
Division	-	-	-	-	-	-	
Art History	-	76,750	-	20,000	-	96,750	
Film & Digital Media	-	-	-	203,149	96,788	299,937	
Music	6,000	-	15,000	5,000	-	26,000	
Theater Arts/SSC	253,486	274,211	89,795	120,000	302,500	1,039,992	19%
School of Engineering	8,202,261	10,600,020	13,690,835	11,878,277	19,559,568	63,930,961	138%
Annual % Change		29%	29%	-13%	65%		
Division	2,600	33,150	-	-	-	35,750	
Applied Math & Statistics	-	795,819	532,314	1,163,809	2,238,130	4,730,072	
BME	-	-	-	612,495	6,583,130	7,195,625	
Computer Engineering	2,079,389	2,967,812	2,220,938	3,200,713	3,349,710	13,818,562	61%
Computer Science	4,938,750	4,752,732	7,013,535	2,809,771	2,579,603	22,094,391	-48%
Electrical Engineering	1,181,522	2,050,507	3,924,048	4,059,531	4,735,512	15,951,120	301%
ISMT	-	-	-	31,958	73,483	105,441	
Humanities Division	877,276	425,041	538,424	374,181	814,789	3,029,711	-7%
Annual % Change		-52%	27%	-31%	118%		
Division	-	9,000	-	-	-	9,000	
American Studies	-	-	4,000	-	-	4,000	
Hist. Con.	1,500	32,531	29,510	74,833	118,622	256,996	7808%
History	210,000	-	146,000	23,200	202,024	581,224	
Languages	26,569	37,757	-	10,000	-	74,326	
Linguistics	101,259	28,193	29,424	126,246	41,424	326,546	-59%
Literature	-	58,873	182,558	27,702	318,719	587,852	
Philosophy	210,000	-	-	-	-	210,000	
Women's Studies	39,948	109,937	5,432	-	-	155,317	
Writing Project	288,000	148,750	141,500	112,200	134,000	824,450	-53%

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Table 1, continued
UCSC Sponsored Projects Awards by Campus Area, FY 2001–2002 — FY 2005–2006

Division, Department, ORU	Award Value 2001–2002	Award Value 2002–2003	Award Value 2003–2004	Award Value 2004–2005	Award Value 2005–2006	5-Year Total % Change (For those units with 5 years of data)	5-Year Total % Change
P & B Sciences Division Annual % Change	38,163,425	36,603,863 -4%	38,688,261 6%	42,273,137 9%	44,645,919 6%	200,374,605	17%
Division	357,833	196,927	160,761	-	-		
Biology, EEB	2,345,401	2,907,241	2,448,824	1,493,049	1,760,369	118%	-25%
Biology, MCD	6,696,128	5,282,161	5,532,556	6,352,278	6,053,474	95%	-10%
Chemistry	6,337,685	5,664,111	6,898,564	6,956,759	8,899,103	128%	40%
Earth Science	5,253,923	1,657,897	1,590,574	1,744,137	2,368,394	136%	-55%
ETOX	2,209,347	1,775,909	2,008,258	1,950,832	3,022,541	155%	37%
Math	713,655	1,076,012	381,371	415,422	620,392	149%	-13%
Ocean Science	739,184	401,483	313,820	48,168	-	0%	
Physics	800,680	1,062,413	346,389	919,999	857,073	93%	7%
Sci. Comm. Prg.	-	30,000	-	15,000	-	0%	
IGPP	1,325,475	1,817,505	3,204,942	1,844,582	1,784,864	97%	35%
IMS	7,745,750	10,519,320	12,624,571	16,034,145	14,982,555	93%	93%
SCIPP	3,638,364	4,212,884	3,177,631	4,498,766	4,297,154	96%	18%
UCO Lick Annual % Change	7,305,569	16,974,322 132%	11,745,564 -31%	8,580,088 -27%	13,381,424 56%	57,986,967	83%

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Table 1, continued
UCSC Sponsored Projects Awards by Campus Area, FY 2001–2002 — FY 2005–2006

Division, Department, ORU	Award Value 2001–2002	Award Value 2002–2003	Award Value 2003–2004	Award Value 2004–2005	Award Value 2005–2006	5-Year 5-Year Total % Change with 5 years of data)
Social Sciences Division	7,097,036	10,670,566	7,345,404	9,492,667	17,508,655	52,114,328
Annual Percentage Change		50%	-31%	29%	84%	147%
Anthro	501,494	516,140	462,249	112,437	105,837	-79%
CASFS	610,035	720,569	670,396	679,917	1,418,983	133%
Chicano/Latino Research Center	8,697	-	-	-	-	-
Community Studies	25,000	31,440	(21,440)	33,782	63,864	155%
Economics	420,000	295,394	162,251	511,085	903,644	115%
Education	3,332,703	6,696,472	3,492,336	4,827,096	9,560,248	187%
Environmental Studies	584,179	683,926	1,332,255	1,319,224	1,948,259	234%
Latin American & Latino Studies	687,020	794,756	445,142	235,323	1,346,795	96%
Politics	14,000	17,000	17,000	35,000	90,502	546%
Psychology	828,072	876,874	594,880	1,705,914	1,830,971	121%
Sociology	85,836	37,995	190,335	32,889	239,552	179%
Other	6,051,866	3,885,925	19,161,056	27,779,591	32,147,866	89,026,304
Annual Percentage Change		-36%	393%	45%	16%	431%
Arboretum	-	-	-	56,940	59,987	-
Arts & Lectures	10,000	-	-	-	14,500	45%
Career Center	-	-	20,000	-	-	-
Chancellor's Office	878,399	-	62,000	-	-	-
Colleges	14,000	14,000	12,978	29,400	-	-
Educational Partnership Center	2,411,269	2,151,466	1,533,678	1,749,959	4,710,872	95%
Grad Div	406,000	576,166	1,070,832	901,000	972,000	139%
Health Center	-	-	-	38,700	-	-
Library	14,684	36,000	42,000	52,000	-	-
MBEST	82,637	100,000	-	7,813	7,813	-
OPERS	10,350	-	-	-	-	-
Office of Research	-	165,400	15,311,854	23,170,333	25,489,446	-
Office for Mgte. of IP	-	-	-	-	50,479	-
Physical Planning & Construction	-	-	-	100,000	-	-
Silicon Valley Initiatives	-	-	-	-	139,220	-
Student Services	1,097,904	712,893	758,914	1,188,215	577,047	-47%
TAPS	152,000	130,000	98,800	460,231	1,502	-99%
Univ. Extension	974,623	-	200,000	-	100,000	-
Univ. Police	-	-	50,000	25,000	25,000	-

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UCSC Sponsored Projects Proposals by Campus Area, FY 2001–2002 — FY 2005–2006

Table 2

Division, Department, ORU	Number of Proposals 2001–2002	Proposal Value 2001–2002	Number of Proposals 2002–2003	Proposal Value 2002–2003	Number of Proposals 2003–2004	Proposal Value 2003–2004	Number of Proposals 2004–2005	Proposal Value 2004–2005	Number of Proposals 2005–2006	Proposal Value 2005–2006
Campus-wide Total	960	218,776,413	873	697,873,158	906	315,744,233	1,056	432,204,011	990	296,154,682
Arts Division	7	405,035	5	427,128	12	661,476	10	925,902	11	1,379,076
Division	-	-	-	-	-	-	-	-	-	-
Art	-	-	1	307,164	-	-	-	37,300	3	77,000
Art History	-	-	1	76,753	1	20,000	-	-	-	-
Film & Digital Media	1	107,474	1	15,000	1	205,805	-	-	1	579,713
Music	1	6,000	1	24,000	5	239,175	5	51,602	2	25,000
Theater Arts/SSC	5	291,561	1	4,211	5	196,496	5	837,000	5	697,363
School of Engineering	117	39,959,761	152	59,359,126	172	94,542,372	197	122,512,581	163	79,658,314
Division	1	2,600	-	-	-	-	-	-	-	-
Applied Math & Statistics	4	587,053	17	4,315,227	16	3,049,159	23	5,005,850	23	5,909,490
BME	-	-	-	-	-	4,207,137	6	5,954,986	15	31,742,149
CBSE	-	-	-	-	-	-	1	273,529	-	-
Computer Engineering	43	9,979,825	56	23,531,538	55	37,448,790	57	49,824,744	45	12,773,804
Computer Science	42	22,229,646	37	14,167,958	43	24,247,057	35	34,951,114	20	7,383,709
Electrical Engineering	27	7,160,637	42	17,344,403	53	24,544,432	64	25,195,598	41	17,974,819
ISMT	-	-	-	-	5	1,045,797	11	1,306,760	19	3,874,343
Humanities Division	29	1,614,564	14	1,071,311	22	1,173,673	18	1,512,368	30	3,295,935
Division	-	-	1	9,000	-	-	-	-	-	-
American Studies	2	33,563	-	-	1	4,000	-	-	-	-
Dickens Project	-	-	-	-	-	-	1	107,018	1	112,300
Hist. Con.	3	33,954	-	-	1	15,170	1	41,822	10	183,822
History	11	712,605	2	69,046	9	699,425	5	720,190	8	202,689
Languages	-	-	1	19,052	-	-	-	-	-	-
Linguistics	4	198,802	1	30,000	1	161,878	2	96,822	2	2,186,505
Literature	3	61,640	4	148,477	6	150,000	3	259,953	4	292,923
Philosophy	2	30,000	1	299,966	-	-	1	130,563	1	183,196
Women's Studies	-	-	3	246,554	-	-	-	-	-	-
Writing Project	4	544,000	1	249,216	4	143,200	5	156,000	4	134,500
Physical & Biological Sci. Div.	527	126,314,588	455	176,864,222	452	139,755,976	423	137,535,769	466	147,511,760
Division	3	514,965	5	35,301,480	1	40,000	-	-	-	-
Astronomy & Astrophysics	-	-	-	-	3	8,300	-	-	-	-
Biology, EEB	49	9,184,774	24	10,183,255	30	9,969,129	37	9,974,320	24	5,651,804
Biology, MCDB	49	19,751,895	42	30,720,396	39	22,277,904	31	20,424,594	43	35,739,104
Chemistry	72	27,711,531	52	29,725,740	56	29,306,033	58	30,186,856	49	26,933,051
Earth Sciences	67	12,471,669	44	6,042,870	56	5,927,903	47	8,635,772	55	11,967,401
ETOX	32	9,251,424	28	12,124,504	21	6,838,195	41	9,914,395	30	9,411,766
Math	21	2,403,978	16	1,906,661	8	964,549	10	650,659	18	3,507,095
Ocean Science	16	3,274,636	13	2,680,247	7	1,738,891	2	554,271	3	1,473,834
Physics	13	1,629,233	7	1,442,630	17	5,342,955	15	2,601,185	14	4,626,881
Sci. Comm. Prg.	-	-	3	47,500	-	-	-	-	-	-
IGPP	44	4,832,099	68	11,333,273	42	6,348,245	16	1,972,872	32	5,245,244
IMS	134	30,074,927	135	23,746,890	145	46,045,545	129	27,767,255	156	28,272,843
SCIPP	27	5,213,457	18	11,608,776	27	4,948,327	37	24,853,590	42	14,682,737

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Table 2, continued
UCSC Sponsored Projects Proposals by Campus Area, FY 2001–2002 — FY 2005–2006

Division, Department, ORU	Number of Proposals		Proposal Value		Number of Proposals		Proposal Value		Number of Proposals		Proposal Value		Number of Proposals		Proposal Value		Number of Proposals		Proposal Value	
	2001–2002	2001–2002	2002–2003	2002–2003	2003–2004	2003–2004	2004–2005	2004–2005	2005–2006	2005–2006	2005–2006	2005–2006	2005–2006	2005–2006	2005–2006	2005–2006	2005–2006	2005–2006	2005–2006	2005–2006
		\$		\$		\$		\$			\$				\$				\$	
UCO Lick	47	9,591,601	49	82,986,491	35	5,160,497	55	29,029,369	42	10,750,980										
Social Sciences Division	186	28,614,264	159	78,697,183	162	38,032,165	208	39,004,014	215	32,027,486										
Anthro	16	1,976,147	18	1,018,722	8	99,879	30	1,339,857	25	1,092,520										
CASFS	22	893,892	16	1,116,664	15	1,078,842	29	2,601,234	21	3,030,703										
CGIRS	-	-	-	-	-	-	-	-	1	398,917										
cano/Latino Research Center	1	8,697	2	420,798	-	-	-	-	-	-										
Community Studies	3	518,199	3	411,713	2	44,330	6	161,968	4	841,443										
Economics	15	1,429,448	11	1,321,634	17	4,036,929	12	798,452	22	894,978										
Education	25	10,773,123	21	63,232,912	33	15,959,306	39	14,884,382	41	14,326,555										
Env Studies	55	7,915,860	31	672,367	41	10,284,627	53	12,058,937	50	7,965,627										
in American & Latino Studies	19	1,698,319	12	833,048	13	1,096,596	12	1,357,820	14	1,005,112										
Politics	5	110,899	5	92,042	1	45,069	7	281,401	12	294,648										
Psychology	20	2,069,799	29	8,999,280	23	4,111,267	12	5,379,416	11	1,937,796										
Sociology	5	1,219,881	11	578,003	9	1,275,320	8	140,547	14	239,187										
Other	47	12,276,600	40	349,424,697	50	36,418,074	51	101,352,496	63	21,531,131										
Arboretum	-	-	1	61,921	2	71,626	1	56,730	2	70,000										
Arts & Lectures	-	-	-	-	-	-	-	-	6	14,500										
BAS-Fire Dept.	-	-	-	-	1	62,910	-	-	-	-										
Career Center	-	-	2	110,000	1	10,000	-	-	-	-										
Chancellor's Office	2	1,509,517	-	-	2	62,000	-	-	3	2,381,967										
Colleges	1	14,000	1	14,000	1	14,000	2	29,400	-	-										
Educational Partner Ctr.	11	1,989,477	8	4,604,738	7	15,214,563	10	25,046,457	10	8,810,777										
Grad Div.	2	458,000	-	-	3	4,190,682	1	375,000	1	1,603,350										
Health Center	-	-	-	-	-	-	1	38,700	-	-										
Library	3	16,604	1	36,000	2	52,000	1	42,000	-	-										
MBEST	-	-	1	100,000	1	83,873	-	-	-	-										
OPERS	1	2,500	-	-	-	-	-	-	1	20,400										
Office of Research	1	600,000	2	342,002,459	3	851,215	19	73,117,667	23	6,365,516										
Physical Plant	-	-	-	-	1	117,361	-	-	-	-										
Student Services	18	4,298,996	18	1,667,652	16	13,145,345	9	1,048,486	14	1,958,988										
TAPS	6	569,000	3	263,000	4	303,500	6	1,590,910	2	138,000										
Univ. Ext.	2	2,818,506	2	514,927	3	1,447,857	-	-	1	167,633										
Univ. Police	-	-	1	50,000	3	791,142	1	7,146	-	-										

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Table 3

UCSC Sponsored Projects Awarded Indirect Costs, FY 2001-02 – FY 2005-2006

Division, Department, ORU	Awarded Indirect Cost FY 2001-02 \$	Awarded Indirect Cost FY 2002-03 \$	Awarded Indirect Cost FY 2003-04 \$	Awarded Indirect Cost FY2004-05 \$	Awarded Indirect Cost FY 2005-06 \$
Campus-wide Total	12,048,844	11,519,546	15,230,432	16,887,865	21,990,257
Annual Percentage Change		-4%	32%	11%	30%
Arts Division	6,848	-	-	55,059	52,517
Annual Percentage Change	-	-	-	-	-5%
Art History	-	-	-	-	-
Film & Digital Media	-	-	-	49,189	27,322
Music	-	-	-	-	-
Theater Arts/SSC	6,848	-	-	5,870	25,195
School of Engineering	2,113,632	2,356,113	3,154,390	2,109,371	4,012,846
Annual Percentage Change		11%	34%	-33%	90%
Division	-	-	-	-	-
Applied Math & Statistics	-	167,509	128,576	229,215	403,871
BME	-	-	-	150,426	1,614,045
Computer Engineering	481,373	558,576	468,943	571,036	571,847
Computer Science	1,354,112	1,247,076	1,922,931	623,798	480,432
Electrical Engineering	278,147	382,952	633,940	534,896	942,651
ISTM	-	-	-	-	-
Humanities Division	40,257	11,926	25,999	741	36,701
Annual Percentage Change		-70%	118%	-97%	4853%
Division	-	-	-	-	-
Hist. Con.	-	-	-	-	-
History	18,283	-	8,182	-	12,966
Languages	1,968	2,797	-	741	-
Linguistics	20,006	-	-	-	-
Literature	-	-	17,109	-	19,957
Philosophy	-	-	-	-	-
Women's Studies	-	9,129	708	-	-
Writing Project	-	-	-	-	3,778
P & B Sciences Division	7,832,513	7,016,385	7,598,081	8,346,293	8,816,619
Annual Percentage Change		-10%	8%	10%	6%
Division	-	-	-	-	-
Biology, EEB	292,299	289,687	534,311	211,177	239,398
Biology, MCD	1,657,890	1,399,227	1,275,753	1,599,458	1,539,955
Chemistry	1,556,162	1,379,847	1,560,252	1,642,378	1,744,883
Earth Sci.	1,076,809	309,164	362,952	449,282	585,276
ETOX	140,762	349,240	403,074	397,704	609,942
Math	124,617	125,343	91,773	96,099	152,606
Ocean Sci.	118,227	87,412	10,677	10,238	-
Physics	208,553	174,249	87,703	208,013	185,646
Sci. Comm. Prg.	-	2,864	-	1,500	-
IGPP (Tectonics)	371,518	457,813	759,116	476,767	346,033
IMS	1,619,167	1,695,736	1,926,013	2,393,498	2,574,150
SCIPP	666,509	745,803	586,457	860,179	838,730

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Table 3, continued
UCSC Sponsored Projects Awarded Indirect Costs, FY 2001-02 – FY 2005-2006

Division, Department, ORU	Awarded Indirect Cost FY 2001-02 \$	Awarded Indirect Cost FY 2002-03 \$	Awarded Indirect Cost FY 2003-04 \$	Awarded Indirect Cost FY 2004-05 \$	Awarded Indirect Cost FY 2005-06 \$
UCO Lick	1,209,442	1,103,213	1,491,842	1,650,781	1,395,348
Annual Percentage Change		-9%	35%	11%	-15%
Social Sciences Division	474,519	903,121	578,377	1,293,269	2,529,618
Annual Percentage Change		90%	-36%	124%	96%
Anthro	12,638	20,894	36,950	5,112	6,300
CASFS	4,286	17,834	7,892	22,739	102,250
Chicano/Latino Research Center	414	-	-	-	-
Community Studies	-	-	-	1,667	-
Economics	52,894	34,537	17,491	137,933	139,020
Education	86,150	570,651	168,412	687,876	1,510,065
Env. Studies	62,571	62,545	171,354	167,466	301,430
Hispanic American & Latino Studies	73,823	88,299	36,868	22,404	107,541
Politics	-	-	-	-	4,605
Psychology	178,939	108,361	137,362	247,132	343,217
Sociology	2,804	-	2,048	940	15,190
Other	371,633	128,788	2,381,743	3,432,351	5,146,608
Annual Percentage Change		-65%	1749%	44%	50%
Arboretum	-	-	-	16,926	-
Arts & Lectures	-	-	-	-	-
Chancellor's Office	272,060	-	7,613	-	-
Colleges	1,273	1,273	1,313	2,807	-
Educational Partners	98,300	95,072	85,311	60,495	280,894
Grad Div.	-	-	-	-	-
Health Center	-	-	-	3,338	-
Library	-	-	-	-	-
MBEST	-	-	-	-	-
OPERS	-	-	-	-	-
Office of Research	-	32,443	2,277,982	3,346,225	4,833,644
Physical Plant	-	-	-	-	-
Silicon Valley Initiatives	-	-	-	-	27,307
Student Services	-	-	-	2,560	-
Summer Session	-	-	-	-	-
TAPS	-	-	-	-	-
Univ. Ext.	-	-	9,524	-	4,763
Univ. Police	-	-	-	-	-

Table 4
UC Santa Cruz Comparison of Direct Expenditures Under Instruction,
Research and Other Academic Programs
Fiscal Year ending June 30, 2006

Academic Units	University Funded	Externally Funded	Gifts & Endowments	Total Support	Percent External
Arts Division					
Art Department	2,101,174	4,058	15,731	2,120,963	
Arts Academic Support Services	840,424	9,524	10,132	860,080	1%
Arts Administration	780,505	294	15,178	795,977	
Arts Instruction	54,276	-	-	54,276	
Arts Research	292,125	62,417	51,364	405,906	15%
Digital Arts New Media	245,638	1,059	1,529	248,226	
Film & Digital Media	1,867,301	3,731	20,109	1,891,141	
History of Art and Visual Culture	1,393,298	-	15,055	1,408,353	
Music	2,817,449	2,243	72,710	2,892,402	
Sesnon Gallery	62,611	2,389	34,521	99,521	2%
Shakespeare Santa Cruz	1,263,449	90,020	580,986	1,934,455	5%
Theater Arts	1,995,890	5,303	18,940	2,020,133	
Sub-Total	13,714,140	181,038	836,255	14,731,433	1%
Division of Graduate Studies					
Sub-Total	909,395	46,221	-	955,616	5%
Engineering					
Applied Math & Statistics	1,377,848	646,934	4,319	2,029,101	32%
BioMolecular Engineerin Dept	766,546	3,736,783	13,202	4,516,531	83%
CE Computer Engineering Dept	3,494,330	1,906,568	174,401	5,575,299	34%
CS Computer Sciences Dept	2,931,972	1,400,956	183,289	4,516,217	31%
Ctr Biomolecular Sci & Engineering	89,496	-	7,600	97,096	
Ctr Info Tech Res Interest Society	114,437	13,886	10,517	138,840	10%
Electrical Engineering	2,076,004	3,397,801	127,719	5,601,524	61%
Engineering Administration	1,236,949	1,010	-	1,237,959	
Engineering Advising & Outreach Prog	554,520	2,379	-	556,899	
Engineering Faculty Services	43,216	2,754	-	45,970	6%
Engineering General	1,641,872	1,330	49,322	1,692,524	
INST Network Info Sys & Tech	439	-	-	439	
Sub-Total	14,327,629	11,110,401	570,369	26,008,399	43%
Humanities Division					
American Studies	1,198,891	(266)	3,490	1,202,115	
Central Calif Writing Proj(CCWP)	24,652	109,558	-	134,210	82%
DP Dicken's Project	153,732	-	20,607	174,339	
Feminist Studies	979,136	3,631	9,659	992,426	
History	2,852,693	111,625	88,350	3,052,668	4%
History of Consciousness	1,349,276	2,550	862	1,352,688	
Humanities Administration	1,396,936	-	9,218	1,406,154	
Humanities Research and Instruction	498,242	278,242	233,299	1,009,783	28%
Languages	1,806,126	13,684	20,699	1,840,509	1%
Linguistics	1,559,427	88,769	26,575	1,674,771	5%
Literature	4,883,405	703	7,550	4,891,658	
Philosophy	1,415,425	-	13,378	1,428,803	
Writing	1,476,279	3,426	-	1,479,705	
Sub-Total	19,594,220	611,922	433,687	20,639,829	3%
Library					
Sub-Total	11,413,807	154,889	170,976	11,739,672	1%

Office of Sponsored Projects

UC Santa Cruz Comparison of Direct Expenditures Under Instruction, Research and Other Academic Programs Fiscal Year ending June 30, 2006

	University Funded	Externally Funded	Gifts & Endowments	Total Support	Percent External
Academic Units					
Physical & Biological Sciences					
Astronomy & Astrophysics	1,731,881	1,376	3,179	1,736,436	
Biology Core	579,410	(1,652)	(18,500)	559,258	
California Space Institute	13,117	15,651	-	28,768	54%
Chemistry and Biochemistry	4,875,546	5,515,365	42,686	10,433,597	53%
Earth & Planetary Sciences	3,462,064	2,393,501	34,657	5,890,222	41%
Ecology & Evolution	2,392,149	2,707,947	40,591	5,140,687	53%
Environmental Toxicology	919,601	1,178,460	58,822	2,156,883	55%
Inst Fr Giophysics & Plant Physics	371,924	938,125	168,979	1,479,028	63%
Institute of Marine Sciences	1,580,427	9,548,278	732,964	11,861,669	80%
Interdisciplinary Instruction	418,840	14,102	9,614	442,556	3%
Mathematics	2,753,738	294,752	6,765	3,055,255	10%
Molecular & Cell Development Biology	3,456,940	5,077,156	136,171	8,670,267	59%
Natural Reserves	236,655	23,035	21,761	281,451	8%
Ocean Sciences	1,426,650	708,148	19,685	2,154,483	33%
Phys & Bio Sci Dean's Allocations	(57,290)	4,250	1,005	(52,035)	
Phys & Bio Sci Divisional Support	2,421,347	3,976	49,546	2,474,869	
Phys & Bio Sciences Administration	2,050,760	1,470	3,224	2,055,454	
Phys & Bio Sciences Faculty	775,897	-	-	775,897	
Phys & Bio Sciences Outreach	91,218	51,654	192	143,064	36%
Physics	3,062,739	697,588	5,667	3,765,994	19%
Science Writing	157,365	13,500	2,076	172,941	8%
SC Institute for Particle Physics	497,076	2,938,203	-	3,435,279	86%
STEPS Institute	92,512	-	857	93,369	
Sub-Total	33,310,566	32,124,885	1,319,941	66,755,392	48%
Silicon Valley Center					
Silicon Valley Center	866,090			866,090	
Sub-Total	866,090			866,090	
Social Sciences Division					
Agroecology Program	814,278	702,321	196,096	1,712,695	41%
Anthropology Department	2,506,162	79,468	43,918	2,629,548	3%
College Nine Academic	247,994	2,204	8,107	258,305	1%
College Ten Academic	322,735	2,575	2,372	327,682	1%
Community Studies Department	1,505,312	14,744	1,664	1,521,720	1%
Ctr Fr Justice, Tolerance & Community	40,153	-	5,595	45,748	
Economics Department	3,989,827	282,283	14,251	4,286,361	7%
Education Department	2,671,806	1,020,362	4,708	3,696,876	28%
Environmental Studies Department	2,247,451	743,373	168,785	3,159,609	24%
Latin American/Latino Studies Bd	1,123,083	547,724	4,136	1,674,943	33%
New Teacher Center	6,879,315	4,356,105	111,525	11,346,945	38%
Politics Department	1,675,001	26,275	3,837	1,705,113	2%
Psychology Department	4,197,960	1,004,872	105,635	5,308,467	19%
Sociology Department	2,357,167	205,292	55,876	2,618,335	8%
Soc Sci Academic Support Services	756,420	1,070	-	757,490	
Soc Sci Administration	1,256,787	211	-	1,256,998	
Soc Sci Equipment	108,745	-	-	108,745	
Soc Sci General	510,069	389	14,303	524,761	
Soc Sci Outreach	22,168	93,782	-	115,950	81%
Sub-Total	33,232,433	9,083,050	740,808	43,056,291	21%
Summer Session					
Sub-Total	1,967,188			1,967,188	

Table 4, continued
 UC Santa Cruz Comparison of Direct Expenditures Under Instruction,
 Research and Other Academic Programs
 Fiscal Year ending June 30, 2006

		University Funded	Externally Funded	Gifts & Endowments	Total Support	Percent External
Academic Units						
UCOLO UCO Lick Observatory						
	Sub-Total	1,103,891	7,566,114	182,724	8,852,729	85%
University Affiliated Research Cntr						
UARC Aerospace Systems		38,775	5,922,008	-	5,960,783	99%
UARC Biotech & Space Biology		-	386,223	-	386,223	100%
UARC Earch Sciences		-	3,055,101	-	3,055,101	100%
UARC Grants - Other		-	474,539	-	474,539	100%
UARC Information Systems		6,067	1,668,918	-	1,674,985	100%
UARC Management		257,548	1,195,349	-	1,452,897	82%
UARC Naotechnology		-	2,751,176	-	2,751,176	100%
	Sub-Total	302,390	15,453,314	-	15,755,704	98%
University Extention						
	Sub-Total	13,803,285	73,908	-	13,877,193	-
Vice Chancellor Research						
	Sub-Total	1,594,114	413	-	1,594,527	-
	Total	146,139,148	76,406,155	4,254,760	226,800,063	34%
Multicampus Research Units						
Chicano/Latino Research Center Loc P						
	Sub-Total	51,204	-	-	51,204	-
MRU Dickens Project						
	Sub-Total	59,114	-	-	59,114	-
MRU Institute Geo & Planet Physics						
	Sub-Total	125,284	-	-	125,284	-
UCO Multicampus Research Unit						
MRU - Mt. Hamilton		1,317,696	-	-	1,317,696	-
MRU - TMT Thirty Meter Telescope		909,620	-	-	909,620	-
MRU - UCO		17,257	-	-	17,257	-
MRU - UCOLO Administration		1,270,713	-	-	1,270,713	-
MRU - UCOLO Projects		264,398	-	-	264,398	-
MRU - UCOLO Research		958,503	-	-	958,503	-
MRU - UCOLO Technical Support Srvc		2,876,535	-	-	2,876,535	-
	Sub-Total	7,614,722	-	-	7,614,722	-
	Total	7,850,324	-	-	7,850,324	-
Grand Total						
		153,989,472	76,406,155	4,254,760	234,650,387	
	%	65.6%	32.6%	1.8%	100%	

Notes:

1. Indirect cost recovery charges assessed on external awards are excluded from the above figures.

2. Externally Funded includes expenditures on local government, state agency, federal and private contract and grant funds as well as specific funds indentified by the Office of Sponsored Projects as external award funds. Externally funded does not include expenditures on General State fund 19900.

3. University Funded includes expenditures on all other funds designated neither as external, nor gifts and endowments. University Funded includes general state appropriations, student fees, opportunity funds, other service funds, etc..