

**Office of Sponsored Projects
Office of Research**

**Annual Report
FY 2006 – FY 2007**

**University of California,
Santa Cruz**

**Office of Sponsored Projects
Annual Report
Fiscal Year 2006–2007**

Highlights

- Grants and contracts awards (882) totaled \$111,215,641, the second year in a row over the \$100M mark and a 40% increase over the last five years.
- Recovered federal indirect costs were at an all-time high of \$13.7M.
- Over last five years, UCSC has received over one-half billion dollars in external funding.
- Externally funded direct expenditures contributed 33% of all campus expenditures under instruction, research and other academic programs.
- Over 78% of awards were from the federal government; second largest funding source was private foundations, followed by the State of California.
- The single-largest award, for \$17.9M, went to the University Affiliated Research Center (UARC), located at the NASA Ames Research Center.
- The single-largest funding source was NASA (\$22.8M), followed by the National Science Foundation (\$18.0M) and the National Institutes of Health (\$10.1M). The single-largest private fund source was the William and Flora Hewlett Foundation (\$4.2M).
- The top five campus units receiving awards were the UARC (\$18.4M), Institute of Marine Sciences (\$12.6M), UCO/Lick (\$10.8M), Education (\$10.1M), and Molecular, Cell & Developmental Biology (\$6.7M).
- Proposals submitted, 950, had a total value of \$262M.

Figure 1
UCSC Sponsored Projects Awards:
FY 2003 – FY 2007

■ Direct Costs ■ Indirect Costs

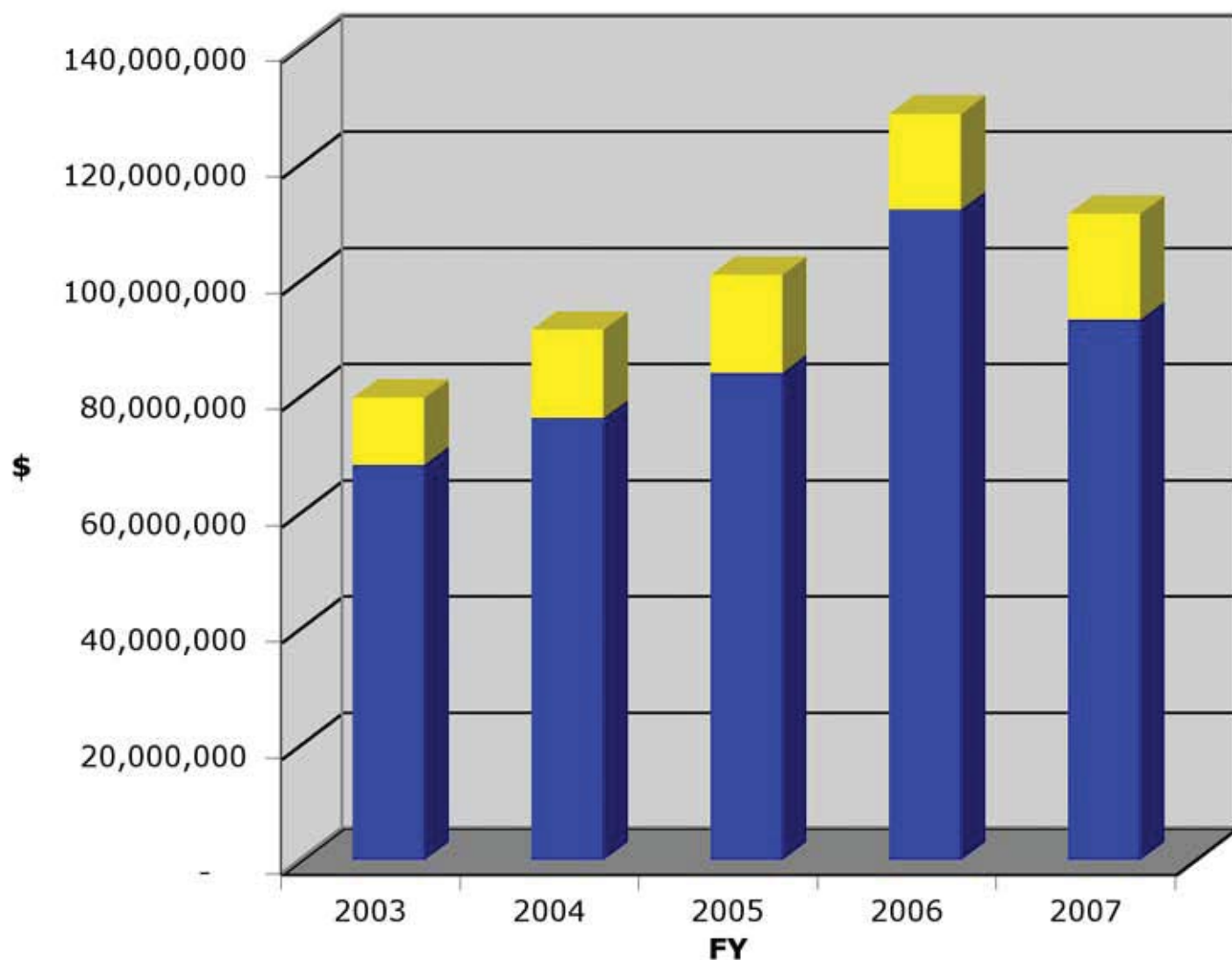
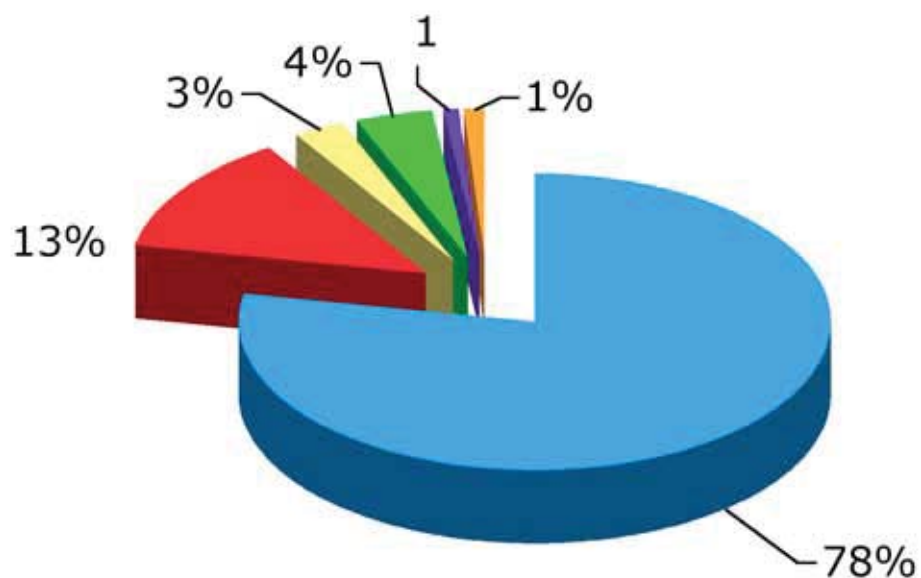


Figure 2
UCSC Grants and Contracts by Source:
FY 2006 – FY 2007



■ Federal ■ Foundations ■ UC Initiatives ■ State ■ Industry ■ Other

Office of Sponsored Projects

Table 1

UCSC Sponsored Projects Awards by Campus Area, FY 2002–2003 — FY 2006–2007

Division, Department, ORU	Award Value 2002–2003	Award Value 2003–2004	Award Value 2004–2005	Award Value 2005–2006	Award Value 2006–2007	5-Year Total	5-Year % Change (For those units with 5 years of data)
Campus-wide Total	79,510,698	91,274,339	100,718,277	128,457,509	111,215,641	511,176,464	40%
Annual % Change	17%	15%	10%	28%	-13%		
Arts Division	350,961	104,795	348,149	399,288	277,000	1,480,193	-21%
Annual % Change	17%	-70%	232%	15%	-31%		
Division	-	-	-	-	-	-	-
Art History	76,750	-	20,000	-	-	96,750	-
Film & Digital Media	-	-	203,149	96,788	157,000	456,937	-
Music	-	15,000	5,000	-	-	26,000	-
Theater Arts/SSC	274,211	89,795	120,000	302,500	120,000	1,159,992	-56%
School of Engineering	10,600,020	13,690,835	11,878,277	19,559,568	16,426,378	72,155,078	55%
Annual % Change	29%	29%	-13%	65%	-16%		
Division	33,150	-	-	-	-	33,150	-
Applied Math & Statistics	795,819	532,314	1,163,809	2,238,130	765,769	5,495,841	-
BME	-	-	612,495	6,583,130	6,156,911	-	-
Computer Engineering	2,967,812	2,220,938	3,200,713	3,349,710	2,643,104	14,382,277	-11%
Computer Science	4,752,732	7,013,535	2,809,771	2,579,603	1,597,370	18,753,011	-66%
Electrical Engineering	2,050,507	3,924,048	4,059,531	4,735,512	5,083,959	19,853,557	148%
ISMT	-	-	31,958	73,483	179,265	284,706	-
Humanities Division	425,041	538,424	374,181	814,789	940,316	3,092,751	121%
Annual % Change	-52%	27%	-31%	118%	15%		
Division	9,000	-	-	-	-	9,000	-
American Studies	-	4,000	-	-	105,000	109,000	-
Hist. Con.	32,531	29,510	74,833	118,622	133,299	388,795	310%
History	-	146,000	23,200	202,024	261,800	633,024	-
Languages	37,757	-	10,000	-	5,250	53,007	-
Linguistics	28,193	29,424	126,246	41,424	30,000	255,287	6%
Literature	58,873	182,558	27,702	318,719	330,467	918,319	461%
Philosophy	-	-	-	-	-	-	-
Women's Studies	109,937	5,432	-	-	-	115,369	-
Writing Project	148,750	141,500	112,200	134,000	74,500	610,950	-50%

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Table 1, continued

UCSC Sponsored Projects Awards by Campus Area, FY 2002–2003 – FY 2006–2007

Division, Department, ORU	Award Value 2002–2003	Award Value 2003–2004	Award Value 2004–2005	Award Value 2005–2006	Award Value 2006–2007	5-Year Total	5-Year % Change (For those units with 5 years of data)
P & B Sciences Division	36,603,863	38,688,261	42,273,137	44,645,919	39,522,909	201,734,089	8%
Annual % Change	-4%	6%	9%	6%	-11%		
Division	196,927	160,761	-	-	-	357,688	-
Biology, EEB	2,907,241	2,448,824	1,493,049	1,760,369	887,787	9,497,270	-69%
Biology, MCD	5,282,161	5,532,556	6,352,278	6,053,474	6,711,292	29,931,761	27%
Chemistry	5,664,111	6,898,564	6,956,759	8,899,103	5,426,241	33,844,778	-4%
Earth Science	1,657,897	1,590,574	1,744,137	2,368,394	4,151,473	11,512,475	150%
ETOX	1,775,909	2,008,258	1,950,832	3,022,541	1,008,220	9,765,760	-43%
Math	1,076,012	381,371	415,422	620,392	1,136,083	3,629,280	6%
Ocean Science	401,483	313,820	48,168	-	429,099	1,192,570	-
Physics	1,062,413	346,389	919,999	857,073	1,336,844	4,522,718	26%
Sci. Comm. Prg.	30,000	-	15,000	-	59,000	104,000	-
IGPP	1,817,505	3,204,942	1,844,582	1,784,864	1,264,650	9,916,543	-30%
IMS	10,519,320	12,624,571	16,034,145	14,982,555	12,577,580	66,738,171	20%
SCIPP	4,212,884	3,177,631	4,498,766	4,297,154	4,534,640	20,721,075	8%
UCO Lick	16,974,322	11,745,564	8,580,088	13,381,424	10,779,677	61,461,075	-36%
Annual % Change	132%	-31%	-27%	56%	-19%		

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Table 1, continued
UCSC Sponsored Projects Awards by Campus Area, FY 2002–2003 — FY 2006–2007

Division, Department, ORU	Award Value 2002–2003	Award Value 2003–2004	Award Value 2004–2005	Award Value 2005–2006	Award Value 2006–2007	5-Year 5-Year Total % Change with 5 years of data)
Social Sciences Division	10,670,566	7,345,404	9,492,667	17,508,655	16,684,756	61,702,048
Annual Percentage Change	50%	-31%	29%	84%	-5%	56%
Anthro	516,140	462,249	112,437	105,837	280,115	1,476,778
CASFS	720,569	670,396	679,917	1,418,983	1,056,909	4,546,774
CGIRS	-	-	-	-	74,079	74,079
CJTC	-	-	-	-	27,845	27,845
Community Studies	31,440	(21,440)	33,782	63,864	3,000	110,646
Economics	295,394	162,251	511,085	903,644	229,371	2,101,745
Education	6,696,472	3,492,336	4,827,096	9,560,248	10,140,486	34,716,638
Environmental Studies	683,926	1,332,255	1,319,224	1,948,259	2,204,734	7,488,398
Latin American & Latino Studies	794,756	445,142	235,323	1,346,795	729,868	3,551,884
Politics	17,000	17,000	35,000	90,502	28,416	187,918
Psychology	876,874	594,880	1,705,914	1,830,971	1,877,433	6,886,072
Sociology	37,995	190,335	32,889	239,552	32,500	533,271
Other	3,885,925	19,161,056	27,779,591	32,147,866	26,584,605	109,559,043
Annual Percentage Change	-36%	393%	45%	16%	-17%	584%
Arboretum	-	-	56,940	59,987	-	116,927
Arts & Lectures	-	-	-	14,500	2,000	16,500
Career Center	-	20,000	-	-	-	20,000
Chancellor's Office	-	62,000	-	-	-	62,000
Colleges	14,000	12,978	29,400	-	14,700	71,078
CUHS	-	-	-	-	218,148	218,148
Educational Partnership Center	2,151,466	1,533,678	1,749,959	4,710,872	4,335,350	14,481,325
Family & Child Care Services	-	-	-	-	412,792	412,792
Grad Div	576,166	1,070,832	901,000	972,000	1,011,000	4,530,998
Health Center	-	-	38,700	-	-	38,700
Library	36,000	42,000	52,000	-	4,000	134,000
MBEST	100,000	-	7,813	7,813	-	115,626
OPERS	-	-	-	-	32,310	32,310
Office of Research	165,400	15,311,854	23,170,333	25,489,446	34,625	64,171,658
Office for Mgt. of IP	-	-	-	50,479	-	50,479
Physical Planning & Construction	-	-	100,000	-	-	100,000
Silicon Valley Initiatives	-	-	-	139,220	2,088,221	2,227,441
Student Services	712,893	758,914	1,188,215	577,047	-	3,237,069
TAPS	130,000	98,800	460,231	1,502	60,500	751,033
UARC	-	<i>Previously reported under Office of Research</i>			18,350,959	18,350,959
Univ. Extension	-	200,000	-	100,000	-	300,000
Univ. Police	-	50,000	25,000	25,000	20,000	120,000

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Table 2

UCSC Sponsored Projects Proposals by Campus Area, FY 2002–2003 — FY 2006–2007

Division, Department, ORU	Number of Proposals 2002–2003	Proposal Value 2002–2003	Number of Proposals 2003–2004	Proposal Value 2003–2004	Number of Proposals 2004–2005	Proposal Value 2004–2005	Number of Proposals 2005–2006	Proposal Value 2005–2006	Number of Proposals Proposal Value 2006–2007 2006–2007	
Campus-wide Total	873	697,873,158	906	315,744,233	1,056	432,204,011	992	296,174,780	950	261,867,879
Arts Division	5	427,128	12	661,476	15	925,902	11	1,379,076	7	851,549
Division	-	-	-	-	-	-	-	-	-	-
Art	1	307,164	-	-	5	37,300	3	77,000	1	90,651
Art History	1	76,753	1	20,000	-	-	-	-	-	-
Film & Digital Media	1	15,000	1	205,805	-	-	1	579,713	1	157,000
Music	1	24,000	5	239,175	5	51,602	2	25,000	-	-
Theater Arts/SSC	1	4,211	5	196,496	5	837,000	5	697,363	5	603,898
School of Engineering	152	59,359,126	173	94,542,372	197	122,512,581	163	79,658,314	164	80,098,218
Division	-	-	-	-	-	-	-	-	-	-
Applied Math & Statistics	17	4,315,227	16	3,049,159	23	5,005,850	23	5,909,490	21	2,434,027
BME	-	-	1	4,207,137	6	5,954,986	15	31,742,149	26	39,395,002
CBSE	-	-	-	-	1	273,529	-	-	-	-
Computer Engineering	56	23,531,538	55	37,448,790	57	49,824,744	45	12,773,804	25	5,512,595
Computer Science	37	14,167,958	43	24,247,057	35	34,951,114	20	7,383,709	26	8,349,688
Electrical Engineering	42	17,344,403	53	24,544,432	64	25,195,598	41	17,974,819	54	20,461,162
ISMT	-	-	5	1,045,797	11	1,306,760	19	3,874,343	12	3,945,744
Humanities Division	14	1,071,311	22	1,173,673	18	1,512,368	30	3,295,935	40	3,495,489
Division	1	9,000	-	-	-	-	-	-	-	-
American Studies	-	-	1	4,000	-	-	-	-	2	119,971
Dickens Project	-	-	-	-	1	107,018	1	112,300	-	-
Hist. Con.	-	-	1	15,170	1	41,822	10	183,822	3	76,889
History	2	69,046	9	699,425	5	720,190	8	202,689	17	1,338,479
Languages	1	19,052	-	-	-	-	-	-	1	7,500
Linguistics	1	30,000	1	161,878	2	96,822	2	2,186,505	7	1,483,065
Literature	4	148,477	6	150,000	3	259,953	4	292,923	8	408,085
Philosophy	1	299,966	-	-	1	130,563	1	183,196	-	-
Women's Studies	3	246,554	-	-	-	-	-	-	-	-
Writing Project	1	249,216	4	143,200	5	156,000	4	134,500	2	61,500
Physical & Biological Sci. Di	455	176,864,222	452	139,755,976	423	137,535,769	436	147,511,760	414	118,995,430
Division	5	35,301,480	1	40,000	-	-	-	-	-	-
Astronomy & Astrophysics	-	-	3	8,300	-	-	-	-	6	1,158,975
Biology, EEB	24	10,183,255	30	9,969,129	37	9,974,320	24	5,651,804	27	8,688,404
Biology, MCDB	42	30,720,396	39	22,277,904	31	20,424,594	43	35,739,104	49	258,873,69
Chemistry	52	29,725,740	56	29,306,033	58	30,186,856	49	26,933,051	45	228,464,25
Earth Sciences	44	6,042,870	56	5,927,903	47	8,635,772	55	11,967,401	44	6,043,458
ETOX	28	12,124,504	21	6,838,195	41	9,914,395	30	9,411,766	32	12,097,785
Math	16	1,906,661	8	964,549	10	650,659	18	3,507,095	14	1,498,522
Ocean Science	13	2,680,247	7	1,738,891	2	554,271	3	1,473,834	1	200,725
Physics	7	1,442,630	17	5,342,955	15	2,601,185	14	4,626,881	27	8,258,415
Sci. Comm. Prg.	3	47,500	-	-	-	-	-	-	2	63,001
IGPP	68	11,333,273	42	6,348,245	16	1,972,872	2	5,245,244	14	3,182,108
IMS	135	23,746,890	145	46,045,545	129	27,767,255	156	28,272,843	130	26,939,376
SCIPP	18	11,608,776	27	4,948,327	37	24,853,590	42	14,682,737	23	2,130,867

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Table 2. continued
UCSC Sponsored Projects Proposals by Campus Area, FY 2002–2003 – FY 2006–2007

Division, Department, ORU	Number of Proposals 2002–2003	Proposal Value 2002–2003	Number of Proposals 2003–2004	Proposal Value 2003–2004	Number of Proposals 2004–2005	Proposal Value 2004–2005	Number of Proposals 2005–2006	Proposal Value 2005–2006	Number of Proposals 2006–2007	Proposal Value 2006–2007
		\$		\$		\$		\$		
UCO Lick	49	82,986,491	35	5,160,497	55	29,029,369	42	10,750,980	50	7,803,233
Social Sciences Division	159	78,697,183	162	38,032,165	208	39,004,014	215	32,027,486	221	39,729,194
Anthro	18	1,018,722	8	99,879	30	1,339,857	25	1,092,520	26	2,288,803
CASFS	16	1,116,664	15	1,078,842	29	2,601,234	21	3,030,703	19	1,788,204
CGIRS	-	-	-	-	-	-	1	398,917	5	670,505
CJTC	-	-	-	-	-	-	-	-	2	278,45
icano/Latino Research Center	2	420,798	-	-	-	-	-	-	-	-
Community Studies	3	411,713	2	44,330	6	161,968	4	841,443	4	448,912
Economics	11	1,321,634	17	4,036,929	12	798,452	22	894,978	13	1,236,486
Education	21	63,232,912	33	15,959,306	39	14,884,382	41	14,326,555	55	20,238,139
Env Studies	31	672,367	41	10,284,627	53	12,058,937	50	7,965,627	58	7,418,785
tin American & Latino Studies	12	833,048	13	1,096,596	12	1,357,820	14	1,005,112	7	1,790,943
Politics	5	92,042	1	45,069	7	281,401	12	294,648	8	219,687
Psychology	29	8,999,280	23	4,111,267	12	5,379,416	11	1,937,796	16	3,111,189
Sociology	11	578,003	9	1,275,320	8	140,547	14	239,187	8	489,696
Other	40	349,424,697	50	36,418,074	51	101,352,496	64	21,540,131	54	10,894,766
Arboretum	1	61,921	2	71,626	1	56,730	2	70,000	2	190,000
Arts & Lectures	-	-	-	-	-	-	6	14,500	1	2,000
BAS-Fire Dept.	-	-	1	62,910	-	-	-	-	-	-
Career Center	2	110,000	1	10,000	-	-	-	-	-	-
Chancellor's Office	-	-	2	62,000	-	-	-	-	-	-
Colleges	1	14,000	1	14,000	2	29,400	1	14,700	7	385,107
Educational Partner Ctr.	8	4,604,738	7	15,214,563	10	25,046,457	10	8,810,777	8	1,205,567
EEO/AA	-	-	-	-	-	-	1	2,000	-	-
Grad Div.	-	-	3	4,190,682	1	375,000	1	1,603,350	-	-
Health Center	-	-	-	-	1	38,700	-	-	-	-
Library	1	36,000	2	52,000	1	42,000	-	-	4	119,000
MBEST	1	100,000	1	83,873	-	-	-	-	-	-
OPERS	-	-	-	-	-	-	1	14,700	1	11,905
Office of Research	2	342,002,459	3	851,215	19	73,117,667	23	6,365,516	-	-
Physical Plant	-	-	1	117,361	-	-	-	-	-	-
Silicon Valley Initiates	-	-	-	-	-	-	2	2,379,967	2	614,804
Student Services	18	1,667,652	16	13,145,345	9	1,048,486	14	1,958,988	5	320,788
TAPS	3	263,000	4	303,500	6	1,590,910	2	138,000	3	592,700
UARC	-	-	-	-	-	-	-	-	20	7,432,895
Univ. Ext.	2	514,927	3	1,447,857	-	-	1	167,633	-	-
Univ. Police	1	50,000	3	791,142	1	7,146	-	-	1	20,000

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Table 3
UCSC Sponsored Projects Awarded Indirect Costs, FY 2002-03 – FY 2006-2007

Division, Department, ORU	Awarded Indirect Cost FY 2002-03 \$	Awarded Indirect Cost FY 2003-04 \$	Awarded Indirect Cost FY2004-05 \$	Awarded Indirect Cost FY 2005-06 \$	Awarded Indirect Cost FY 2006-07 \$
Campus-wide Total	11,519,546	15,230,432	16,887,865	21,990,257	18,266,724
Annual Percentage Change	-4%	32%	11%	30%	-17%
Arts Division	-	-	55,059	52,517	4,448
Annual Percentage Change	-	-	-	-5%	-92%
Art History	-	-	-	-	-
Film & Digital Media	-	-	49,189	27,322	-
Music	-	-	-	-	-
Theater Arts/SSC	-	-	5,870	25,195	4,448
School of Engineering	2,356,113	3,154,390	2,109,371	4,012,846	3,408,665
Annual Percentage Change	11%	34%	-33%	90%	-15%
Division	-	-	-	-	-
Applied Math & Statistics	167,509	128,576	229,215	403,871	178,686
BME	-	-	150,426	1,614,045	1,710,429
Computer Engineering	558,576	468,943	571,036	571,847	289,223
Computer Science	1,247,076	1,922,931	623,798	480,432	405,834
Electrical Engineering	382,952	633,940	534,896	942,651	804,564
ISTM	-	-	-	-	19,929
Humanities Division	11,926	25,999	741	36,701	38,836
Annual Percentage Change	-70%	118%	-97%	4853%	6%
Division	-	-	-	-	-
Hist. Con.	-	-	-	-	-
History	-	8,182	-	12,966	12,727
Languages	2,797	-	741	-	-
Linguistics	-	-	-	-	9,031
Literature	-	17,109	-	19,957	14,967
Philosophy	-	-	-	-	-
Women's Studies	9,129	708	-	-	-
Writing Project	-	-	-	3,778	2,111
P & B Sciences Division	7,016,385	7,598,081	8,346,293	8,816,619	8,127,332
Annual Percentage Change	-10%	8%	10%	6%	-8%
Division	-	-	-	-	-
Biology, EEB	289,687	534,311	211,177	239,398	129,095
Biology, MCD	1,399,227	1,275,753	1,599,458	1,539,955	1,807,715
Chemistry	1,379,847	1,560,252	1,642,378	1,744,883	1,113,769
Earth Sci.	309,164	362,952	449,282	585,276	1,052,621
ETOX	349,240	403,074	397,704	609,942	195,965
Math	125,343	91,773	96,099	152,606	276,927
Ocean Sci.	87,412	10,677	10,238	-	126,077
Physics	174,249	87,703	208,013	185,646	230,104
Sci. Comm. Prg.	2,864	-	1,500	-	7,069
IGPP (Tectonics)	457,813	759,116	476,767	346,033	309,682
IMS	1,695,736	1,926,013	2,393,498	2,574,150	1,910,174
SCIPP	745,803	586,457	860,179	838,730	968,134

Office of Sponsored Projects

Table 3, continued
UCSC Sponsored Projects Awarded Indirect Costs, FY 2001-02 – FY 2005-2006

Division, Department, ORU	Awarded Indirect Cost FY 2002-03 \$	Awarded Indirect Cost FY 2003-04 \$	Awarded Indirect Cost FY2004-05 \$	Awarded Indirect Cost FY 2005-06 \$	Awarded Indirect Cost FY 2006-07 \$
UCO Lick	1,103,213	1,491,842	1,650,781	1,395,348	1,999,647
Annual Percentage Change	-9%	35%	11%	-15%	43%
Social Sciences Division	903,121	578,377	1,293,269	2,529,618	1,804,210
Annual Percentage Change	90%	-36%	124%	96%	-29%
Anthro	20,894	36,950	5,112	6,300	36,160
CASFS	17,834	7,892	22,739	102,250	99,576
CGIRS	-	-	-	-	-
CJTC	-	-	-	-	841
Chicano/Latino Research Center	-	-	-	-	-
Community Studies	-	-	1,667	-	-
Economics	34,537	17,491	137,933	139,020	19,563
Education	570,651	168,412	687,876	1,510,065	870,645
Env. Studies	62,545	171,354	167,466	301,430	330,629
Latin American & Latino Studies	88,299	36,868	22,404	107,541	60,436
Politics	-	-	-	4,605	-
Psychology	108,361	137,362	247,132	343,217	384,860
Sociology	-	2,048	940	15,190	1,500
Other	128,788	2,381,743	3,432,351	5,146,608	2,883,586
Annual Percentage Change	-65%	1749%	44%	50%	-44%
Arboretum	-	-	16,926	-	-
Arts & Lectures	-	-	-	-	-
Chancellor's Office	-	7,613	-	-	-
Colleges	1,273	1,313	2,807	-	1,336
CUHS		Previously reported under Student Services			1,304
Educational Partnership	95,072	85,311	60,495	280,894	261,836
Family & Child Care Services		Previously reported under Student Services			-
Grad Div.	-	-	-	-	-
Health Center	-	-	3,338	-	-
Library	-	-	-	-	-
MBEST	-	-	-	-	-
OPERS	-	-	-	-	-
Office of Research	32,443	2,277,982	3,346,225	4,833,644	-
Office for Mgte. Of IP					-
Physical Planning & Construction	-	-	-	-	-
Silicon Valley Initiatives				27,307	333,870
Student Services	-	-	2,560	-	-
TAPS	-	-	-	-	-
UARC		Previously reported under Office of Research			2,285,240
Univ. Extension	-	9,524	-	4,763	-
Univ. Police	-	-	-	-	-

Office of Sponsored Projects

Table 4
UC Santa Cruz Comparison of Direct Expenditures Under Instruction,
Research and Other Academic Programs
Fiscal Year ending June 30, 2007

Academic Units	University Funded	Externally Funded	Gifts & Endowments	Total Support	Percent External
Arts Division					
Art Department	2,127,023	5,118	23,156	2,155,297	
Arts Academic Support Services	782,243	7,945	9,029	799,217	1%
Arts Administration	819,401	1,183	5,262	825,846	
Arts Instruction	49,196			49,196	
Arts Research	285,028	83,419	(1,584)	366,863	23%
Digital Arts New Media	187,021	1,089	1,788	189,898	1%
Film & Digital Media	1,976,185	6,980	10,632	1,993,797	
History of Art and Visual Culture	1,311,181	222	52,261	1,363,664	
Music	3,097,304	503	81,811	3,179,618	
Sesnon Gallery	75,131	2,177	28,039	105,347	2%
Shakespeare Santa Cruz	1,462,385	222,230	386,987	2,071,602	11%
Theater Arts	2,176,586	5,831	24,002	2,206,419	
Sub-Total	14,348,684	336,697	621,383	15,306,764	2%
Division of Graduate Studies					
Sub-Total	1,095,403			1,095,403	
Engineering					
Applied Math & Statistics	1,749,521	917,362	7,674	2,674,557	34%
BioMolecular Engineerin Dept	1,416,350	4,482,223	14,056	5,912,629	76%
CE Computer Engineering Dept	2,694,267	1,842,823	69,456	4,606,546	40%
CS Computer Sciences Dept	3,146,593	1,951,843	229,415	5,327,851	37%
Ctr Biomolecular Sci & Engineering	128,893			128,893	
Ctr Info Tech Res Interest Society	126,188		749	126,937	10%
Electrical Engineering	2,092,750	3,291,485	255,232	5,639,467	58%
Engineering Administration	1,180,774	2,730	1,925	1,185,429	
Engineering Advising & Outreach Prog	674,885	1,494	1,250	677,629	
Engineering Faculty Services	40,162	4,340	841	45,343	10%
Engineering General	1,728,465	2,126	29,368	1,759,959	
Technology Information Mgmt Pgm	835,054	180,665	35,668	1,051,387	17%
Sub-Total	15,813,902	12,677,091	645,634	29,136,627	44%
Humanities Division					
American Studies	1,109,682	549	7,018	1,117,249	
Central Calif Writing Proj(CCWP)	25,932	113,402	925	140,259	81%
DP Dicken's Project	145,947	40,172	11,267	197,386	20%
Feminist Studies	894,076	1,529	3,465	899,070	
History	3,065,080	28,681	42,223	3,135,984	1%
History of Consciousness	1,348,707		268	1,348,975	
Humanities Administration	2,677,824	500	6,483	2,684,807	
Humanities Research and Instruction	422,114	204,554	120,865	747,533	27%
Languages	1,858,766	683	18,924	1,878,373	
Linguistics	1,787,479	37,422	15,522	1,840,423	2%
Literature	4,952,290	182	15,991	4,968,463	
Philosophy	1,237,200		3,175	1,240,375	
Writing	1,627,790	3,133	4,816	1,635,739	
Sub-Total	21,152,887	430,807	250,942	21,834,636	2%
Library					
Sub-Total	11,396,705	80,974	179,469	11,657,148	1%

Office of Sponsored Projects

Table 4, continued
UC Santa Cruz Comparison of Direct Expenditures Under Instruction,
Research and Other Academic Programs
Fiscal Year ending June 30, 2007

	University Funded	Externally Funded	Gifts & Endowments	Total Support	Percent External
Academic Units					
Physical & Biological Sciences					
Astronomy & Astrophysics	1,637,787	3,781	3,813	1,645,381	
Biology Core	587,052	4,652	1,372	593,076	1%
California Space Institute	23,628	23,177		46,805	50%
Chemistry and Biochemistry	5,220,565	5,166,694	23,536	10,410,795	50%
Earth & Planetary Sciences	3,699,698	2,769,722	30,754	6,500,174	43%
Ecology & Evolution	2,755,463	2,306,901	37,389	5,099,753	45%
Environmental Toxicology	733,462	1,336,492	8,460	2,078,414	64%
Inst Fr Giophysics & Plant Physics	416,866	839,531	173,634	1,430,031	59%
Institute of Marine Sciences	1,472,992	9,858,025	929,946	12,260,963	80%
Interdisciplinary Instruction	534,459	13,381	28,242	576,082	2%
Mathematics	3,224,438	314,898	2,047	3,541,383	9%
Molecular & Cell Development Biology	4,517,084	5,071,413	246,847	9,835,344	52%
Natural Reserves	262,012	36	40,048	302,096	
Ocean Sciences	1,637,077	837,028	6,492	2,480,597	34%
Phys & Bio Sci Dean's Allocations	33,438	750	12,809	46,997	
Phys & Bio Sci Divisional Support	1,914,289	6,280	40,505	1,961,074	2%
Phys & Bio Sciences Administration	2,116,881	654	2,172	2,119,707	
Phys & Bio Sciences Faculty	1,109,359			1,109,359	
Phys & Bio Sciences Outreach	11,818	115,932	139	127,889	91%
Physics	3,637,527	668,279	22,178	4,327,984	15%
Science Writing	179,056	33,722	1,094	213,872	16%
SC Institute for Particle Physics	613,096	3,494,061	9,894	4,117,051	85%
STEPS Institute	106,405		1,845	108,250	
Sub-Total	36,444,452	32,865,409	1,623,216	70,933,077	46%
Silicon Valley Center					
Silicon Valley Center	960,894			960,894	
Sub-Total	960,894			960,894	
Social Sciences Division				0	
Agroecology Program	741,201	941,733	147,538	1,830,472	51%
Anthropology Department	2,595,799	61,529	55,581	2,712,909	2%
College Nine Academic	244,594	2,391	4,039	251,024	1%
College Ten Academic	281,549	1,509	4,717	287,775	
Community Studies Department	1,524,088	18,028	3,462	1,545,578	1%
Ctr Fr Justice, Tolerance & Community	44,398	8,242	5,446	58,086	14%
Economics Department	4,123,242	268,737	41,266	4,433,245	6%
Education Department	2,450,229	1,209,634	1,396	3,661,259	33%
Environmental Studies Department	2,388,365	1,231,853	116,656	3,736,874	33%
Latin American/Latino Studies Bd	1,380,645	561,867	24,170	1,966,682	29%
New Teacher Center	6,956,800	6,095,886	74,605	13,127,291	46%
Politics Department	1,847,533	9,350	7,379	1,864,262	
Psychology Department	4,549,910	1,006,377	84,887	5,641,174	18%
Sociology Department	2,622,656	90,992	49,968	2,763,616	3%
Soc Sci Academic Support Services	931,913	3,026	0	934,939	
Soc Sci Administration	1,527,159	929	495	1,528,583	
Soc Sci Equipment	360,156		0	360,156	
Soc Sci General	322,666	747	16,412	339,825	99%
Soc Sci Outreach	594	45,833	0	46,427	99%
Sub-Total	34,893,497	11,558,663	638,017	47,090,177	25%
Summer Session					
Sub-Total	2,398,026			2,398,026	

Annual Report 2006-2007

Office of Sponsored Projects

Table 4, continued
UC Santa Cruz Comparison of Direct Expenditures Under Instruction,
Research and Other Academic Programs
Fiscal Year ending June 30, 2007

	University Funded	Externally Funded	Gifts & Endowments	Total Support	Percent External
Academic Units					
UCOLO UCO Lick Observatory					
Sub-Total	1,170,767	9,696,715	230,333	11,097,815	87%
University Affiliated Research Cntr					
UARC Aerospace Systems	164,779	7,272,661		7,437,440	98%
UARC Aligned Research Program		20,082		20,082	100%
UARC Biotech & Space Biology		470,662		470,662	100%
UARC Earth Sciences		2,429,202		2,429,202	100%
UARC Grants - Other	131	875,751	48	875,930	100%
UARC Information Systems	(6,067)	1,731,959		1,725,891	100%
UARC Management	320,754	1,199,861		1,520,615	79%
UARC Nanotechnology		2,345,222		2,345,222	100%
Sub-Total	479,597	16,345,400	48	16,825,044	97%
University Extension					
Sub-Total	14,467,256	(15,019)		14,452,237	
Vice Chancellor Research					
Sub-Total	1,705,261	486		1,705,747	
Total	156,327,331	83,977,223	4,189,042	244,493,596	34%
Multicampus Research Units					
Chicano/Latino Research Center Loc P					
Sub-Total	17,361			17,361	
MRU Dickens Project					
Sub-Total	63,448			63,448	
MRU Institute Geo & Planet Physics					
Sub-Total	136,926			136,926	
UCO Multicampus Research Unit					
MRU - Mt. Hamilton	1,354,094			1,354,094	
MRU - TMT Thirty Meter Telescope	543,500			543,500	
MRU - UCO	15,864			15,864	
MRU - UCOLO Administration	1,269,292			1,269,292	
MRU - UCOLO Projects	471,190			471,190	
MRU - UCOLO Research	1,776,578			1,776,578	
MRU - UCOLO Technical Support Srvc	2,430,982			2,430,982	
Sub-Total	7,861,500			7,861,500	
Total	8,079,235			8,079,235	
Grand Total	164,406,566	83,977,223	4,189,042	252,572,831	
	65%	33%	2%	100%	

Notes:

1. Indirect cost recovery charges assessed on external awards are excluded from the above figures.
2. Externally Funded includes expenditures on local government, state agency, federal and private contract and grant funds as well as specific funds identified by the Office of Sponsored Projects as external award funds. Externally funded does not include expenditures on General State fund 19900 or grants funded entirely through the UC Office of the President. UCOP-funded grants totaled \$2.3M in FY 06-07.
3. University Funded includes expenditures on all other funds designated neither as external, nor gifts and endowments. University Funded includes general state appropriations, student fees, opportunity funds, other service funds, etc.