



OFFICE OF RESEARCH

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Principal Investigators/Researchers,

RE: UC Santa Cruz Commitment to Export Control Compliance

The UC Santa Cruz Office of Research is designed to support and facilitate your research activities by helping you understand and comply with U.S. export control regulations. The research experience at UC Santa Cruz is enhanced through effective stewardship of resources, policies, training, and compliance. The Office of Research promotes a culture of responsible cutting-edge scholarship, innovation, collaboration, and communication at UC Santa Cruz. Export Control is just one of the areas where our office is here to help.

The Office of Research is building an export control program to enhance resources and tools to support the campus community in international research activities. These resources and tools are intended to help members of the campus community be aware of potential issues and seek the advice of the Export Control Officer to determine license requirements, obtain required licenses, and provide assistance with technology control plan requirements.

Typical UCSC transactions or activities that may have export control implications include:

- Collaborating with a foreign institution, person, or entity,
- Shipping or hand carrying equipment, items, samples, or controlled data internationally,
- Receiving export controlled data, materials, or research samples,
- Agreements that include publication or foreign national restrictions,
- Foreign nationals' access to export controlled items or information, or
- Transactions involving sanctioned countries such as Cuba, Iran, North Korea, Sudan, and Syria.

Export controls are the laws that aim to protect national security, economic interest, and support foreign policy. Export control is a shared responsibility and violations of U.S. export control regulations can result in personal and institutional liability, including fines and imprisonment. UC Santa Cruz is committed to providing assistance to researchers to comply with U.S. export control regulations.

For additional information or training regarding export controls, please contact Lisa Coscarelli, Export Control Officer, at exportcontrol@ucsc.edu

Sincerely,

Scott Brandt, PhD
Vice Chancellor for Research