

Subrecipient Financial Disclosure Form

The Principal Investigator must submit a signed Subrecipient Financial Disclosure Form for all entities (except governmental and non-profit, tax-exempt educational institutions) that will be a subrecipient of grant/contract funds received by UCSC. Proposals will not be submitted by OSP until the PI has submitted these required forms.

If the answer is "**No**" for all questions on the next page, no other paperwork needs to be filed UNLESS a change occurs in the PI's financial status in relation to the subrecipient.

If the answer is "**Yes**" for any questions on the next page, an addendum must be filed for review by the UCSC Conflict of Interest Review Committee.

Separate Disclosures are required for the following:

- Prior to submission of competing proposals (new & renewal)
- Within 30 days of the PI acquiring or discovering a new financial interest

Subrecipient Financial Disclosure Form

This Submittal is for:	New Disclosure	Updated Disclosure
Principal Investigator		
Phone Number		
Email Address		
Proposal/Project Title		
OSP ID Number		
Name of Subrecipient		Entity Type

1. Is the Subrecipient a near relative?

Yes No

2. Does a near relative own or control an interest in the Subrecipient?

Yes No

3. Are you a director, officer, partner, trustee, consultant, employee, or do you hold a position of management in the Subrecipient?

Yes No

4. Do you, your spouse or registered domestic partner, or your dependent children have an investment in the Subrecipient?

Yes No

5. Have you received income from the Subrecipient?

Yes No

6. Have you received loans from the Subrecipient?

Yes No

7. Have you received gifts from the Subrecipient within the last 12 months?

Yes No

Principal Investigator Signature

Date