

**ANNUAL REPORT
OFFICE OF SPONSORED PROJECTS
FISCAL YEAR 1999 – 2000**



Office of Sponsored Projects
Associate Vice Chancellor for Research
University of California, Santa Cruz

**Office of Sponsored Projects
Annual Report
Fiscal Year 1999 – 2000**

Highlights

UCSC's grants and contracts awards totaled \$53,405,919—an increase of 5.6 percent from last year.

UCSC's award totals have doubled in last 10 years.

UCSC Principal Investigators (PIs) received 628 awards.

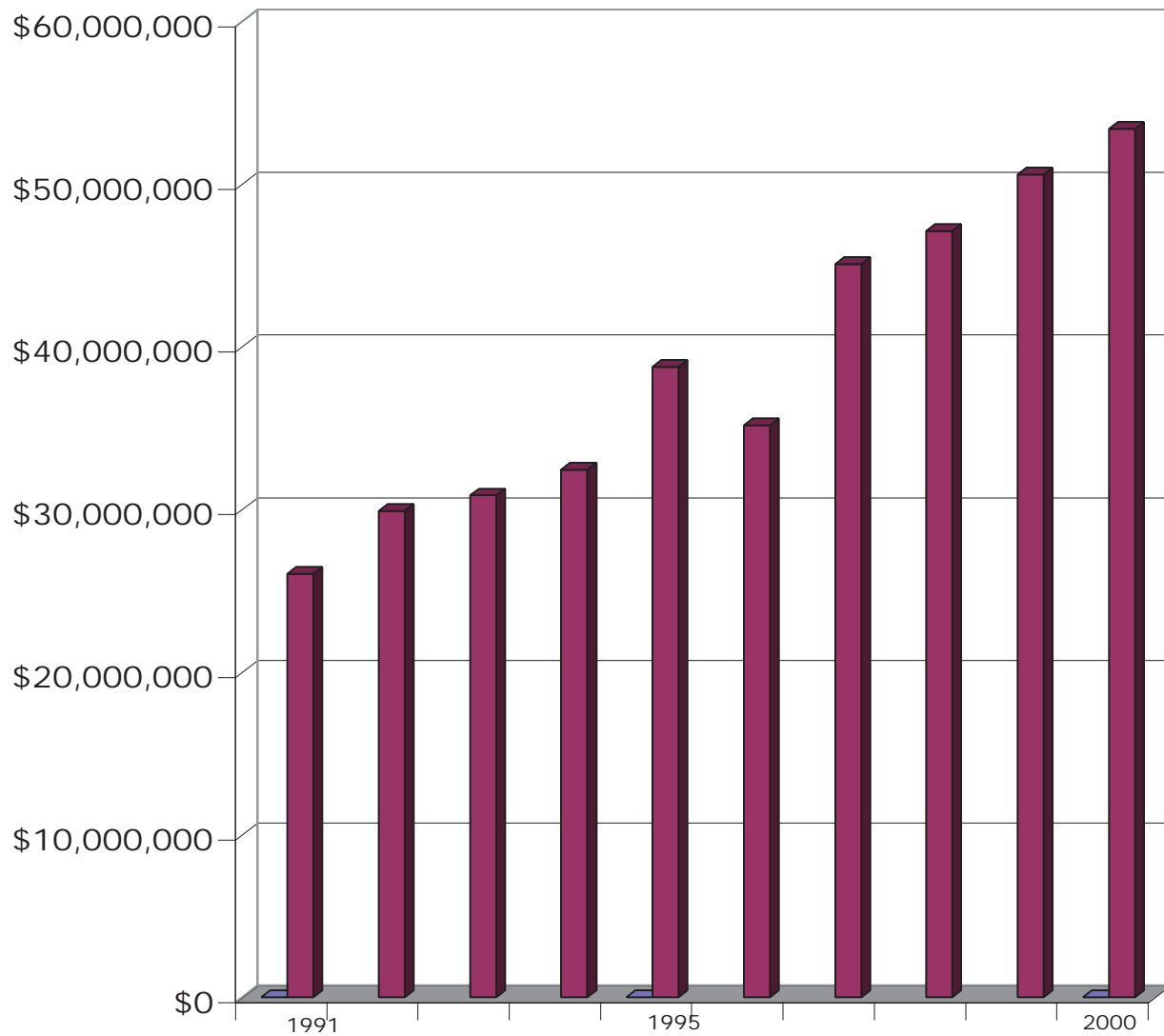
Eighty percent of awards were from the federal government. Second largest source of funds was non-governmental, followed by the State of California.

The single largest funding source was the National Science Foundation (\$13.9M), followed by the National Institutes of Health (\$7.6M). The single largest non-governmental funding source was the W. M. Keck Foundation (\$1M).

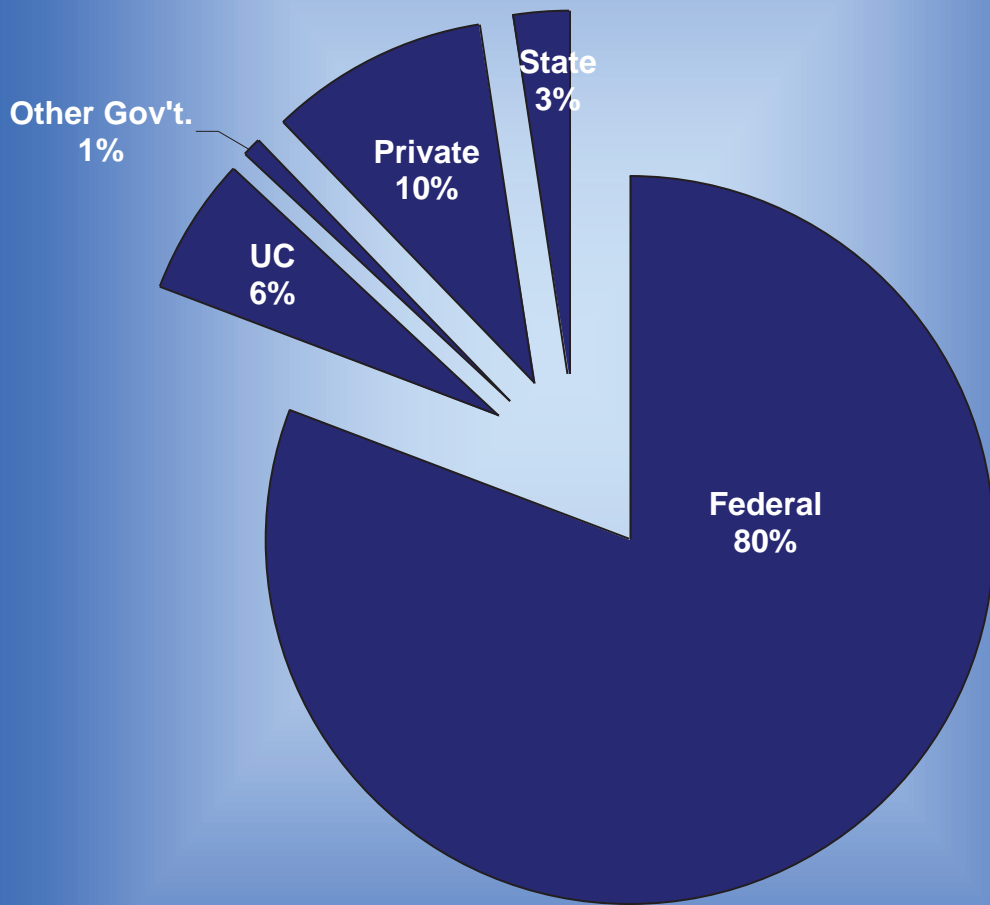
The top three campus units receiving awards were UCO/Lick (\$6.8M), Biology (\$6.3M), and Institute of Marine Sciences (\$5.7M).

UCSC's PIs submitted 763 proposals through 48 different campus units for a total value of \$197.3M, a 35 percent increase from last year's total value.

UCSC Sponsored Projects Awards:
FY 91 – FY 00
(Awards Doubled in Last Ten Years)



**UCSC Grants and Contracts by Sponsor Type:
FY 1999 – 2000**



UCSC Sponsored Projects Awards by Campus Area, FY 1995-96 — FY 1999-00

Division, Department, ORU	Award Value 1995-96	Award Value 1996-97	Award Value 1997-98	Award Value 1998-99	Award Value 1999-00	5-Year Cumulative Total	5-Year % Change (For those units with 5 years of data)
Campus-wide Total	35,154,405	45,060,720	47,102,517	50,586,210	53,405,919	231,309,771	44%
Annual Percentage Change		28.18%	4.53%	7.40%			
Arts Division	60,112	28,667	6,283	11,144	411,194	517,400	584%
Annual Percentage Change		-52.3%	-78.1%	77.4%	3689.8%		
Division	-	5,000	-	-	-	5,000	
Music	51,000	18,000	-	-	11,350	80,350	
Theater Arts	-	5,667	-	-	234,200	239,867	
Shakespeare SC	9,112	-	6,283	11,144	165,644	192,183	
School of Engineering	-	-	4,251,815	4,272,114	4,976,650	13,500,579	
Annual Percentage Change	n/a	n/a	n/a	0.5%	16.5%		
Division				7,159	45,938	53,097	
Computer Engineering	Included in	Included in	2,765,422	2,260,337	1,968,730	6,994,489	
Computer Science	Natural Sciences	Natural Sciences	1,486,393	2,004,618	1,859,400	5,350,411	
Electrical Engineering	n/a	n/a	n/a	n/a	1,102,582	1,102,582	
Humanities Division	325,278	730,497	328,965	353,854	227,708	1,966,302	-30%
Annual Percentage Change		124.58%	-54.97%	7.57%			
Division	-	750	-	-	-	750	
American Studies	-	-	3,000	3,000	-	6,000	
Center for Cultural Studies	2,000	-	-	-	-	2,000	
Hist. Con.	-	1,100	11,315	-	3,000	15,415	
History	73,500	258,800	233,600	104,000	5,000	674,900	-93%
Languages	12,000	14,250	-	-	-	26,250	
Linguistics	56,905	72,785	-	42,204	36,908	208,802	
Literature	36,373	72,909	5,500	101,650	1,000	217,432	-97%
Philosophy	-	189,903	-	5,000	-	194,903	
Women's Studies	-	-	3,300	-	-	3,300	
Writing Project	144,500	120,000	72,250	98,000	181,800	616,550	-32%

UCSC Sponsored Projects Awards by Campus Area, FY 1995-96 — FY 1999-00

Division, Department, ORU	Award Value 1995-96	Award Value 1996-97	Award Value 1997-98	Award Value 1998-99	Award Value 1999-00	5-Year Cumulative Total	5-Year % Change
Natural Sciences Division	24,742,948	30,984,459	24,891,860	30,378,247	26,459,195	137,456,709	23%
Annual Percentage Change		25.23%	-19.66%	22.04%	-12.90%		
Division	-	75,000	127,650	325,313	268,152	796,115	
Biology	4,448,990	8,317,333	6,759,500	7,976,223	6,314,593	33,816,639	42%
Computer Engineering	3,042,384	2,848,719	Included in				
Computer Science	895,039	1,834,433	Sch. Of Engineering				
Chemistry	5,360,711	5,767,185	4,189,050	5,164,468	5,415,573	25,896,987	1%
Earth Sci.	661,683	1,702,201	626,263	1,415,243	718,809	5,124,199	9%
ETOX	-	419,477	355,686	1,072,014	602,084	2,449,261	
Math	335,159	874,614	712,677	414,443	905,031	3,241,924	170%
Ocean Sci.	167,618	227,581	216,090	24,541	282,280	918,110	68%
Physics	431,998	1,109,648	901,312	1,844,517	1,344,261	5,631,736	211%
IMS	5,247,399	3,108,645	4,586,961	7,303,569	5,702,016	25,948,590	9%
Nonlinear Sci.	119,224	105,000	111,128	6,330	-	341,682	
SCIPP	1,914,836	2,974,312	3,986,100	2,537,183	2,828,691	14,241,122	48%
Tectonics	2,117,907	1,620,311	2,319,443	2,294,403	2,077,705	10,429,769	-2%
UCO Lick	1,798,500	3,152,687	3,342,228	3,054,478	6,786,514	18,134,407	70%
Annual Percentage Change		75.30%	6.01%	-8.61%	122.18%		
Social Sciences Division	7,277,919	7,745,574	10,764,190	10,356,178	9,238,848	45,382,709	42%
Annual Percentage Change		6.43%	38.97%	-3.79%	-10.79%		
Anthro	4,688	93,670	40,600	38,930	37,000	214,888	689%
CASFS	707,722	94,601	113,941	359,960	450,852	1,727,076	-36%
Community Studies	-	11,500	-	158,629	50,000	220,129	
Economics	166,798	155,162	213,471	185,594	372,300	1,093,325	123%
Education	4,729,840	5,724,004	7,680,503	7,850,607	5,409,926	31,394,880	14%
Env Studies	723,945	310,027	665,668	843,183	1,138,782	3,681,605	57%
Latin American & Latino Studies	26,704	74,542	420,965	96,853	308,500	927,564	1055%
Politics	36,440	31,305	53,600	40,474	127,008	288,827	249%
Psychology	603,340	1,136,345	928,305	697,598	913,431	4,279,019	51%
Sociology	278,442	114,418	647,137	84,350	431,049	1,555,396	55%

UCSC Sponsored Projects Awards by Campus Area, FY 1995-96 — FY 1999-00

Division, Department, ORU	Award Value 1995-96	Award Value 1996-97	Award Value 1997-98	Award Value 1998-99	Award Value 1999-00	5-Year Cumulative Total	5-Year % Change
Other	949,648	2,418,836	3,517,176	2,160,195	5,305,810	14,351,665	459%
Annual Percentage Change		154.71%	45.41%	-38.58%	145.62%		
Arboretum					74,000	74,000	
Arts & Lectures	21,100	11,400	20,101	9,050	12,500	74,151	-57%
Career Center	-	-	5,550	-	-	5,550	
Colleges	-	11,825	14,533	11,343	19,868	57,569	
Educational Partner Ctr.					419,803	419,803	
Grad Div.	337,998	526,775	509,402	489,500	293,750	2,157,425	45%
Health Center	-	17,102	-	-	-	17,102	
Library	-	5,000	-	3,500	16,500	25,000	
Mont. Bay Ed. Consort.			Included in Ed.	107,806	-		
MBEST	25,000	1,635,134	2,483,600	900,000	3,347,000	8,390,734	3500%
OPERS	-	10,000	25,000	2,000	26,215	63,215	
Physical Plant	-	56,000	-	-	-	56,000	
Student Services	113,850	14,000	154,800	-	126,884	409,534	
Summer Session	-	-	10,000	21,003	-	31,003	
TAPS	273,402	20,000	-	-	49,203	342,605	
Univ. Ext.	199,398	123,000	314,291	625,043	920,087	2,181,819	213%

UCSC Sponsored Projects Proposals, Awards, and Awarded Indirect Costs
by Division and Unit, FY 1999 – 2000

Division, Department, ORU	Number of Proposals	Proposal Value	Number of Awards	Awarded Indirect Costs	Awarded Total
		\$		\$	\$
Campus-wide Total	763	197,312,013	627	8,090,440	53,405,919
Arts Division	13	1,024,182	11	1,032	411,194
Art History	1	464,657	-	-	-
Music	2	22,540	1	1,032	11,350
Theater Arts	3	125,200	5	-	234,200
Shakespeare SC	7	411,785	5	-	165,644
School of Engineering	72	23,371,076	55	1,103,781	4,976,650
Division	2	53,938	2	-	45,938
Applied Math & Statistics	5	703,434	-	-	-
Computer Engineering	23	9,131,096	27	376,753	1,968,730
Computer Science	27	9,198,895	17	455,693	1,859,400
Electrical Engineering	15	4,283,713	9	271,335	1,102,582
Humanities Division	14	470,003	9	6,298	227,708
Hist. Con.	5	24,150	1	-	3,000
History	2	38,905	1	-	5,000
Linguistics	1	92,648	1	6,298	36,908
Literature	2	101,000	1	-	1,000
Writing Project	4	213,300	5	-	181,800
Natural Sciences Division	419	118,574,529	350	4,891,291	26,459,195
Division	4	371,451	6	991	268,152
Biology	95	31,111,234	61	1,087,804	6,314,593
Chemistry	65	27,257,549	61	1,168,472	5,415,573
Earth Sci.	36	4,122,532	22	113,998	718,809
ETOX	17	3,859,124	15	46,233	602,084
Math	18	3,031,233	12	150,224	905,031
Ocean Sci.	3	4,481,460	7	21,827	282,280
Physics	19	5,000,948	11	110,719	1,344,261
IMS	87	14,614,666	103	1,161,165	5,702,016
SCIPP	27	20,269,105	14	537,512	2,828,691
Tectonics	48	4,455,227	38	492,346	2,077,705
UCO Lick	42	8,259,633	65	1,026,450	6,786,514
Social Sciences Division	159	32,532,273	99	1,024,645	9,238,848
Anthro	19	421,272	3	-	37,000
CASFS	13	1,054,273	12	12,645	450,852
Community Studies	3	194,234	1	-	50,000
Economics	13	1,517,157	7	34,967	372,300
Education	29	14,648,240	25	475,795	5,409,926
Env Studies	43	5,600,112	25	177,180	1,138,782
Latin American & Latino Studies	4	375,415	5	30,168	308,500
Politics	6	226,212	5	14,663	127,008
Psychology	18	6,526,051	10	199,261	913,431
Sociology	11	1,969,307	6	79,966	431,049
Other	44	13,080,317	38	36,943	5,305,810
Arboretum	9	626,289	4	-	74,000
Arts & Lectures	2	25,373	2	-	12,500
Colleges	1	19,868	1	-	19,868
Educational Partner Ctr.	5	5,505,823	4	9,106	419,803
Grad Div.	4	1,743,000	2	-	293,750
Library	2	19,000	2	-	16,500
MBEST	3	1,370,900	3	6,249	3,347,000
OPERS	2	29,815	2	-	26,215
Physical Plant	1	120,000	-	-	-
Student Services	6	719,249	3	-	126,884
TAPS	6	1,051,000	2	-	49,203
Univ. Ext.	3	1,850,000	13	21,588	920,087